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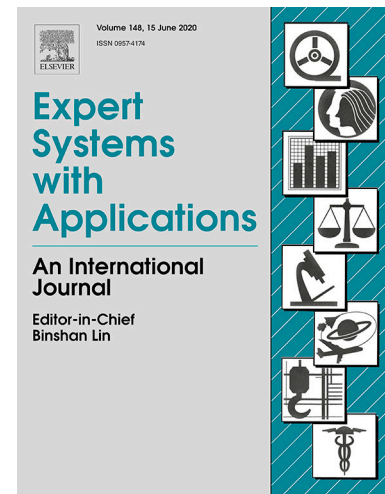
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# **RUN beyond the Metaphor:**

## **An Efficient Optimization Algorithm Based on Runge Kutta Method**

Iman Ahmadianfar<sup>a\*</sup>, Ali Asghar Heidari<sup>b,c</sup>, Amir H. Gandomi<sup>d</sup>, Xuefeng Chu<sup>e</sup>, Huiling Chen<sup>f</sup>

<sup>a</sup> *Department of Civil Engineering, Bebbaban Khatam Alania University of Technology, Bebbaban, Iran  
Email: i.ahmadianfar@bkatu.ac.ir, im.ahmadian@gmail.com*

<sup>b</sup> *School of Surveying and Geospatial Engineering, College of Engineering, University of Tehran, Tehran 1439957131,  
Iran.*

*Email: as\_heidari@ut.ac.ir, aliasghar68@gmail.com*

<sup>c</sup> *Department of Computer Science, School of Computing, National University of Singapore, Singapore 117417,  
Singapore*

*Email: aliasgha@comp.nus.edu.sg, t0917038@u.nus.edu*

<sup>d</sup> *University of Technology Sydney, Ultimo, NSW 2007, Australia.*

*Email: gandomi@uts.edu.au*

<sup>e</sup> *Department of Civil & Environmental Engineering, North Dakota State University, Department 2470, Fargo, ND,  
USA.*

*Email: xuefeng.chu@ndsu.edu*

<sup>f</sup> *College of Computer Science and Artificial Intelligence, Wenzhou University, Wenzhou, Zhejiang  
325035, China*

*Email: chenhuiling.jlu@gmail.com*

## Abstract

The optimization field suffers from the metaphor-based "pseudo-novel" or "fancy" optimizers. Most of these cliché methods mimic animals' searching trends and possess a small contribution to the optimization process itself. Most of these cliché methods suffer from the locally efficient performance, biased verification methods on easy problems, and high similarity between their components' interactions. This study attempts to go beyond the traps of metaphors and introduce a novel metaphor-free population-based optimization based on the mathematical foundations and ideas of the Runge Kutta (RK) method widely well-known in mathematics. The proposed RUNge Kutta optimizer (RUN) was developed to deal with various types of optimization problems in the future. The RUN utilizes the logic of slope variations computed by the RK method as a promising and logical searching mechanism for global optimization. This search mechanism benefits from two active exploration and exploitation phases for exploring the promising regions in the feature space and constructive movement toward the global best solution. Furthermore, an enhanced solution quality (ESQ) mechanism is employed to avoid the local optimal solutions and increase convergence speed. The RUN algorithm's efficiency was evaluated by comparing with other metaheuristic algorithms in 50 mathematical test functions and four real-world engineering problems. The RUN provided very promising and competitive results, showing superior exploration and exploitation tendencies, fast convergence rate, and local optima avoidance. In optimizing the constrained engineering problems, the metaphor-free RUN demonstrated its suitable performance as well. The authors invite the community for extensive evaluations of this deep-rooted optimizer as a promising tool for real-world optimization. The source codes, supplementary materials, and guidance for the developed method will be publicly available at different hubs at and <http://imanahmadianfar.com>, <http://aliasgharheidari.com/RUN.html>, and <http://mdm.wzu.edu.cn/RUN.html>.

**Keywords:** Genetic algorithms; Evolutionary algorithm; Runge Kutta optimization; Optimization; Swarm intelligence; Performance.

## 1. Introduction

Most real-world problems are complicated and present difficulties in being optimized. These problems are often characterized by nonlinearity, multimodality, non-differentiability, and high dimensionality. Because of these properties, the conventional gradient-based optimization methods, such as quasi-Newton, conjugate gradient, and sequential quadratic programming methods, are unable to optimize such problems virtually (Nocedal & Wright, 2006; Wu, 2016). Therefore, existing literature suggests that other optimization techniques need to be developed for more efficient and

effective optimization. An optimization problem can be in many-objective forms (Cao, Dong, et al., 2020; Cao, Wang, et al., 2020). One another problem can be multi-objective (Cao, Zhao, Yang, et al., 2019), memetic (Fu, et al., 2020), fuzzy (Chen, Qiao, et al., 2019), robust (Qu, et al., 2020), large scale (Cao, Fan, et al., 2020; Cao, Zhao, et al., 2020), and single-objective. Real-world problems are faced every day, and we need to develop solvers for deep learning applications (Chen, Chen, et al., 2020; Li, et al., 2019; Qiu, et al., 2019), decision-making procedures (Liu, et al., 2016; Liu, et al.; Wu, et al., 2020), optimal resource allocation (Yan, et al., 2020), image improvement optimization (Wang, et al., 2020), deployment optimization in networks (Cao, Zhao, Gu, et al., 2019), water-energy optimization (Chen, et al., 2017), training systems and methods in artificial neural networks (Mousavi, et al., 2020), and optimization of the parameters (Zhang, et al., 2006). Numerous metaheuristic optimization algorithms (MOAs) have been developed and widely employed as suitable alternative optimizers to solve various problems due to their flexibility and straightforward implementation procedure (Chen, Fan, et al., 2020; Yang & Chen, 2019). MOAs can be categorized into three groups (Kaveh & Bakhshpoori, 2016): evolutionary algorithms (EAs), physics-based algorithms (PBAs), and swarm-based algorithms (SBAs). Nevertheless, they present some drawbacks, including high sensitivity and their control parameter settings. Also, they do not always converge toward the globally optimal solution (Wu, et al., 2015). As they utilize some randomly generated components within the procedure (Sun, et al., 2019), an appropriate balance between exploration and exploitation cannot be ensured. This limit is one of the fundamental challenges within all kinds of methods in this area.

The methods under the class of EAs are based on the principles of evolution in nature, such as selection, recombination, and mutation. The genetic algorithm (GA), another widely-used EA, was inspired by Darwin's theory of evolution (Holland, 1975). Other EAs include genetic programming (GP) (Koza, 1994), differential evolution (DE) (Storn & Price, 1995), and evolution strategy (Beyer & Schwefel, 2002). The methods in this category have the deepest roots in their foundation theory compared to other approaches, as Darwin's theory reshaped our vision of the tree of life. Later, the development of physics-based algorithms (PBAs) emerged as a trend in the field inspired by physics laws governing the surrounding world. For instance, among these emerging PBA algorithms, simulated annealing (SA) is the most popular one (Kirkpatrick, et al., 1983). Other PBAs include gravitational search algorithm (GSA) (Rashedi, et al., 2009), central force optimization (Formato, 2007), differential search (DS) (Liu, et al., 2015), vortex search algorithm (VSA) (Doğan & Ölmez, 2015), and gradient-based optimizer (GBO) (Ahmadianfar, Bozorg-Haddad, et al., 2020). Researchers tried to simulate organisms' cooperative behaviors in flocks after years passed, which are natural or artificial (Baykasoğlu & Ozsoydan, 2017). For example, the main inspiration in particle swarm optimization (PSO) (Eberhart & Kennedy, 1995) is a flock of birds' social behaviors. Other SBA examples include the Bat algorithm (BA) (Yang, 2010b), cuckoo search (CS) (Gandomi, et al., 2013), ant colony optimization

(ACO) (Dorigo & Di Caro, 1999), artificial bee colony (ABC) (Karaboga & Basturk, 2007), firefly algorithm (FA) (Yang, 2010a), slime mould algorithm (SMA)<sup>1</sup> (Li, et al., 2020), and Harris hawks optimization (HHO)<sup>2</sup> (Heidari, Mirjalili, et al., 2019).

On the other hand, evolution served as the core idea of swarm-based methods that evolved the algorithms themselves. Two large influences of these evolving and algorithms included the searching trend for an "unused" biologic source of inspiration and utilizing it as a dress for a set of equations. These unwanted ambiguous directions first occurred when the black hole optimizer appeared as a modified PSO with a new dress (Piotrowski, et al., 2014). Later, another issue was raised by a team of researchers in China, who proved that the widespread grey wolf optimizer (GWO) has a defect, and there is a problem in the verification process (Niu, et al., 2019). It is also exposed that there is no novelty in GWO, and its structure resembled some variants of PSO with a metaphor (Camacho Villalón, et al., 2020). This method's metaphor is not implemented, as mentioned in the original work (Camacho Villalón, et al., 2020). Such inaccuracy affects the reliability of methods and questions the validity of metaphor-based methods like GWO and the black hole algorithm. Despite the weaknesses, metaphors, and structural differences of various optimization algorithms (Tzanetos & Dounias, 2020), they all employ two typical phases, exploration and exploitation, to search the solution space regions (Salcedo-Sanz, 2016). Exploring is an optimization algorithm's ability to sincerely search the entire solution space and explore the promising areas. At the same time, exploitation is the capability of an optimization algorithm to search around near-optimal solutions. Generally, the exploration phase of an optimizer should randomly produce solutions in various regions of the solution space during early iterations of the optimization process (Heidari, Aljarah, et al., 2019). In contrast, the exploitation phase of an optimization algorithm should create a robust local search. Thus, a well-designed idea should be able of creating a suitable balance between the exploration and exploitation phases.

Generally, creating an appropriate trade-off between exploration and exploitation is an essential task for any optimization algorithm (Ahmadianfar, Kheyrandish, et al., 2020). In this regard, many researchers have attempted to improve the optimizers' performance by selecting appropriate control parameters or hybridizing with other optimizers (Abdel-Baset, et al., 2019; Ahmadianfar, et al., 2019; Luo, et al., 2017; Zhang, et al., 2018). Nevertheless, creating a robust algorithm that can balance exploration and exploitation is a complex and challenging issue. Moreover, as there are many real-world problems, more accurate and more consistent optimizers are needed. To fill such a gap, a well-designed population-based optimization procedure is proposed in this research. The proposed algorithm, Runge Kutta optimizer (RUN), was designed according to the foundations of the Runge Kutta method<sup>3</sup> (Kutta, 1901;

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<sup>1</sup> <https://aliasgharheidari.com/SMA.html>

<sup>2</sup> <https://aliasgharheidari.com/HHO.html>

<sup>3</sup> For a better presentation of the term, we use the term Runge Kutta in this paper

Runge, 1895). RUN uses a specific slope calculation concept based on the Runge Kutta method as an effective search engine for global optimization. The proposed algorithm consists of two main parts: a search mechanism based on the Runge Kutta method and an enhanced solution quality (ESQ) mechanism to increase solutions' quality. RUN's performance was evaluated by using 50 mathematical test functions, and the results were compared with those of other state-of-the-art optimizers. Furthermore, the proposed RUN was employed to solve four engineering design problems to test its ability and efficiency in solving a number of real-world optimization problems.

This paper is organized as follows. Section 2 presents a summarized review of the Runge Kutta method. Section 3 provides the mathematical formulation and optimization procedures of the RUN algorithm. Section 4 evaluates the efficiency of the RUN to optimize different benchmark test functions. Section 5 assesses the ability of the proposed RUN in solving engineering design problems. Section 6 presents the main conclusions and some useful suggestions for future studies.

## **2. Related works**

Generally, stochastic optimization algorithms can be categorized into two classes: single-based and population-based algorithms. The algorithm begins the optimization procedure with a single random position in the first class and updates it during each iteration (Mirjalili, et al., 2016). Simulated annealing (SA) (Kirkpatrick, et al., 1983), tabu search (TS) (Glover & Laguna, 1998), and hill-climbing (HC) (Tsamardinos, et al., 2006) belong to this class. The primary benefits of the single-based optimizers include easy implementation and a low number of function evaluations, while their main drawback is the high possibility of getting caught up in local solutions. In contrast, the population-based methods start the optimization procedure with a set of random solutions and update their positions at each iteration. The well-known GA, PSO, DE, ACO, ABC, and biogeography-based optimization (BBO) (Simon, 2008) belong to this category. Population-based optimization algorithms also have a relatively acceptable ability to avoid the local optimal solutions because they employ a set of solutions at each iteration instead of only evolving on a single agent.

Accordingly, the population-based algorithms can handle the sceneries of feature space and increase the convergence speed. Furthermore, they can share information between solutions, making a more convenient search in complex and challenging feature spaces (Mirjalili, et al., 2016). Notwithstanding these advantages, these optimizers require many function evaluations during the optimization process and a relatively complicated/difficult implementation. Another unavoidable issue is that these methods apply a random-based vision for understanding the problem's topographies, making them unbalanced, inaccurate, or unsuccessful in finding any best solution. However, sometimes a locally-accurate solution can satisfy the practitioners and requirements of real-world problems. Many studies indicate that the population-based optimizers are regarded as more reliable and accurate than the single-based

algorithms because of the advantages mentioned above. Their applications in a broad range of fields have demonstrated their worthiness and high capability. Generally, these optimization algorithms have been largely inspired physics's laws, social behaviors of creatures, and natural phenomena.

Of pertinent mention, a study by Sørensen on the low-quality contributions in the optimization methods opened the eyes of many researchers (Sørensen, 2015). As per this research, shallow mathematic models supplied with metaphor-based outfits must be avoided to make improvements in the field (Lones, 2020). These metaphors are often perplexing and irrelevant to experts, decision-makers, algorithm designers, and those who utilize these methods for real-world cases. It has also been discovered that some methods, such as popular harmony search, are not very original, in which the core mathematic models are a version of  $(\mu+1)$ -evolutionary search (Saka, et al., 2016). Regardless of these shortcomings, optimization algorithms consist of exploration and exploitation phases, as previously mentioned. Since establishing a reasonable balance between these two phases is a challenge for any optimization technique, designing a powerful and accurate optimization algorithm to achieve this goal is necessary. Hence, a novel population-based metaheuristic optimization algorithm based on the Runge Kutta method was developed in this study. The following two sections focus on the formulation of this new RUN algorithm.

### 3. Overview of Runge Kutta method in differential equations

The Runge Kutta method (RKM) is broadly used to solve ordinary differential equations (Kutta, 1901; Runge, 1895). RKM can be applied to create a high-precision numerical method by using functions without requiring their high-order derivatives (Zheng & Zhang, 2017). The primary formulation of the RKM is described as follows.

Consider the following first-order ordinary differential equation for an initial value problem:

$$\frac{dy}{dt} = f(x,y), \quad y(x_0) = y_0 \quad (1)$$

In RKM, the main idea is to define  $f(x,y)$  as the slope ( $S$ ) of the best straight line fitted to the graph at the point  $(x,y)$ . Using the slope at point  $(x_0,y_0)$ , another point can be obtained by using the best fitted straight line:  $(x_1,y_1) = (x_0 + \Delta x, y_0 + S_0\Delta x)$ , where  $S_0 = f(x_0,y_0)$ . Similarly,  $(x_2,y_2) = (x_1 + \Delta x, y_1 + S_1\Delta x)$ . This process can be repeated  $m$  times, which yields an approximate solution in the range of  $[x_0, x_0 + m\Delta x]$ .

The derivation of RKM is based on the Taylor series, which is given by:

$$y(x + \Delta x) = y(x) + y'(x)\Delta x + y''(x)\frac{(\Delta x)^2}{2!} + \dots \quad (2)$$

By dropping the higher-order terms, the following approximate equation can be obtained.

$$y(x + \Delta x) \approx y(x) + y'(x)\Delta x \quad (3)$$

According to Eq. (3), the formula for the first-order Runge Kutta method (or Euler method) can be expressed as:

$$y(x + \Delta x) = y(x) + k_1\Delta x \quad (4)$$

where  $k_1 = y'(x) = f(x,y)$ ; and  $\Delta x = x_{n+1} - x_n$ .

The first-order derivative ( $y'(x)$ ) can be approximated by using the following central differencing formula (Patil & Verma, 2006):

$$y'(x) = \frac{y(x + \Delta x) - y(x - \Delta x)}{2\Delta x} \quad (5)$$

Thus, the rule in Eq. (4) can be rewritten as:

$$y(x + \Delta x) = y(x) + \frac{y(x + \Delta x) - y(x - \Delta x)}{2} \Delta x \quad (6)$$

In this study, the fourth-order Runge Kutta (RK4) (England, 1969) derived from Eq. (2) was used to develop the proposed optimization method. The formula for the RK4 method, which is based on the weighted average of four increments (as shown in Fig. 1), can be expressed as:

$$y(x + \Delta x) = y(x) + \frac{1}{6}(k_1 + 2 \times k_2 + 2 \times k_3 + k_4)\Delta x \quad (7)$$

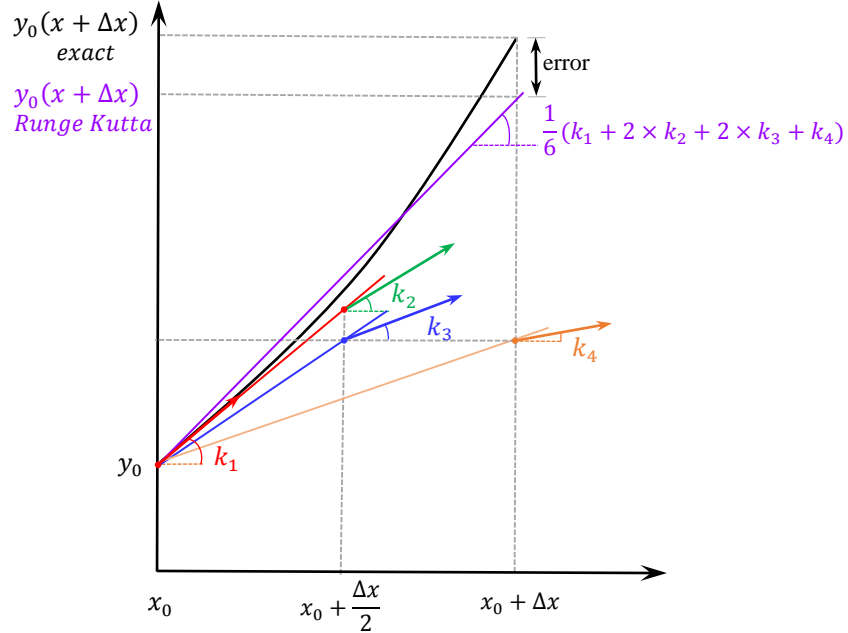
in which the four weighted factors ( $k_1, k_2, k_3,$  and  $k_4$ ) are respectively given by:

$$\begin{aligned} k_1 &= y'(x) = f(x,y) \\ k_2 &= f\left(x + \frac{\Delta x}{2}, y + \frac{\Delta x}{2} \times k_1\right) \\ k_3 &= f\left(x + \frac{\Delta x}{2}, y + \frac{\Delta x}{2} \times k_2\right) \\ k_4 &= f(x + \Delta x, y + \Delta x \times k_3) \end{aligned} \quad (8)$$

where  $k_1$  is the first increment and determines the slope at the beginning of the interval  $[x, x + \Delta x]$  using  $y$ .  $k_2$  is the second increment and specifies based on the slope at the



midpoint, using  $y$  and  $k_1$ ;  $k_3$  is the third increment and defines regarding the slope at the midpoint, using  $y$  and  $k_2$ ; and  $k_4$  is the fourth increment and is determined based on the slope at the end of the interval, using  $y$  and  $k_3$ . According to RK4, the next value  $y(x + \Delta x)$  is specified by the current value  $y(x)$  plus the weighted average of four increments.



**Fig. 1.** Slopes utilized in the RK method

#### 4. Introduction to the Runge Kutta optimizer

In this study, a new swarm-based model with stochastic components is developed for optimization purposes. This model eliminates the cliché inspiration attachment with itself the proposed RUN method is represented by using a metaphor-free language with emphasis on the mathematical core as some sets of activated rules at the proper time. Using metaphors in a population-based model is rejected since the only benefit of such a way is to hide the real nature of the equations utilized within the optimizers. Therefore, RUN accounts for the main logic of the RK technique and the population-based evolution of a crowd of agents. In fact, the RK uses a specific formulation (i.e., RK4 method) to calculate the slope and solve the ordinary differential equations (Kutta, 1901; Runge, 1895). RUN's main idea is based on the concept of the proposed calculated slope in the RK method. The RUN uses the calculated slope as a searching logic to explore the promising area in the search space and build a set of rules for the evolution of a population set according to the swarm-based optimization algorithm's logic. The mathematical formulation of RUN is detailed in the following subsections.

##### 4.1. Initialization step

In this step, the logic is to set an initial swarm to be evolved within the allowed number of iterations. In RUN,  $N$  positions are randomly generated for a population with a size of  $N$ . Each member of the population,  $x_n$  ( $n = 1, 2, \dots, N$ ), is a solution with a dimension of  $D$  for an optimization problem. In general, the initial positions are randomly created by the following idea:

$$x_{n,l} = L_l + \text{rand.}(U_l - L_l) \quad (9)$$

where  $L_l$  and  $U_l$  are the lower and upper bounds of the  $l$ th variable of the problem ( $l = 1, 2, \dots, D$ ), and  $\text{rand}$  is a random number in the range of  $[0, 1]$ . This rule simply generates some solutions within limits.

## 4.2. Root of search mechanism

The power of any optimizer is dependent on its iterative cores for generating the exploration and exploitation patterns. In the exploration core, an optimization algorithm uses a set of random solutions with a high randomness rate to explore the promising areas of the feasible space. Small and gradual variations in the exploitation of core solutions and random behaviors are remarkably lower than those in the exploration mechanism (Mirjalili, 2015a). In this study, RUN's leading search mechanism is based on the RK method to search the decision space using a set of random solutions and implement a proper global and local search.

The RK4 method was employed to determine the search mechanism in the proposed RUN. The first-order derivative was utilized to define the coefficient  $k_1$ , which is calculated by Eq. (5). Moreover, the proposed optimization algorithm uses position  $x_n$  instead of its fitness ( $y(x_n)$ ), because applying the objective function of a position needs considerable time in computing. According to Eq. (5),  $x_n + \Delta x$  and  $x_n - \Delta x$  are two neighboring positions of  $x_n$ . By considering  $y(x)$  as a minimization problem, positions  $x_n - \Delta x$  and  $x_n + \Delta x$  have best and worst positions, respectively. Therefore, to create a population-based algorithm, position  $x_n - \Delta x$  is equal to  $x_b$  (i.e.,  $x_b$  is the best position around  $x_n$ ), while the position  $x_n + \Delta x$  is equal to  $x_w$  (i.e.,  $x_w$  is the worst position around  $x_k$ ). Therefore,  $k_1$  is defined as:

$$k_1 = \frac{x_w - x_b}{2\Delta x} \quad (10)$$

where  $x_w$  and  $x_b$  are the worst and best solutions obtained at each iteration, which are determined based on the three random solutions selected from the members of the population ( $x_{r1}, x_{r2}, x_{r3}$ ), and  $r1 \neq r2 \neq r3 \neq n$ .

In order to enhance the exploration search and create a randomness behavior, Eq. (10) can be rewritten as follows:

$$k_1 = \frac{1}{2\Delta x} (\text{rand} \times x_w - u \times x_b) \quad (10-1)$$

$$u = \text{round}(1 + \text{rand}) \times (1 - \text{rand}) \quad (10-2)$$

where  $\text{rand}$  is a random number in the range of  $[0, 1]$ . Overall, the best solution ( $x_b$ ) plays a crucial role in finding promising areas and moving toward the global best solution. Therefore, in this study, a random parameter ( $u$ ) is used to increase the importance of the best solution ( $x_b$ ) during the optimization process. In Eq. (10),  $\Delta x$  can be specified by:

$$\Delta x = 2 \times \text{rand} \times |\text{Stp}| \quad (11-1)$$

$$\text{Stp} = \text{rand} \times \left( (x_b - \text{rand} \times x_{\text{avg}}) + \gamma \right) \quad (11-2)$$

$$\gamma = \text{rand} \times (x_n - \text{rand} \times (u - l)) \times \exp\left(-4 \times \frac{i}{\text{Max}i}\right) \quad (11-3)$$

where  $\Delta x$  is the position increment, which depends on parameter  $\text{Stp}$ .  $\text{Stp}$  is the step size determined by the difference between  $x_b$  and  $x_{\text{avg}}$ . Parameter  $\gamma$  is a scale factor determined by the solution space's size, decreasing exponentially during the optimization process.  $x_{\text{avg}}$  is the average all solutions at each iteration. Using the random numbers ( $\text{rand}$ ) in Eqs. (11-1) to (11-3), the method can produce more diversification trends and find various search space areas.

Accordingly, the three other coefficients (i.e.,  $k_2$ ,  $k_3$ , and  $k_4$ ) can be respectively written as:

$$k_2 = \frac{1}{2\Delta x} (\text{rand} \cdot (x_w + \text{rand}_1 \cdot k_1 \cdot \Delta x) - (u \cdot x_b + \text{rand}_2 \cdot k_1 \cdot \Delta x)) \quad (12)$$

$$k_3 = \frac{1}{2\Delta x} (\text{rand} \cdot (x_w + \text{rand}_1 \cdot \left(\frac{1}{2}k_2\right) \cdot \Delta x) - (u \cdot x_b + \text{rand}_2 \cdot \left(\frac{1}{2}k_2\right) \cdot \Delta x)) \quad (13)$$

$$k_4 = \frac{1}{2\Delta x} (\text{rand} \cdot (x_w + \text{rand}_1 \cdot k_3 \cdot \Delta x) - (u \cdot x_b + \text{rand}_2 \cdot k_3 \cdot \Delta x)) \quad (14)$$

where  $\text{rand}_1$  and  $\text{rand}_2$  are two random numbers in the range of  $[0, 1]$ . In this study,  $x_w$  and  $x_b$  are determined by the following:

$$\begin{aligned} &\mathbf{if} \ f(x_n) < f(x_{bi}) \\ &\quad x_b = x_n \\ &\quad x_w = x_{bi} \\ &\mathbf{else} \\ &\quad x_b = x_{bi} \\ &\quad x_w = x_n \\ &\mathbf{end} \end{aligned} \quad (15)$$

where  $x_{bi}$  is the best random solution, which is selected from the three random solutions ( $x_{r1}$ ,  $x_{r2}$ , and  $x_{r3}$ ). According to Eq. (15), if the fitness of the current

solution ( $f(x_n)$ ) is better than that of  $x_{bi}$ , the best and worst solutions ( $x_b$  and  $x_w$ ) are equal to  $x_n$  and  $x_{bi}$ , respectively. Otherwise, they are equal to  $x_{bi}$  and  $x_n$ , respectively. Therefore, the leading search mechanism in RUN can be defined as:

$$SM = \frac{1}{6}(x_{RK})\Delta x \quad (16)$$

in which

$$x_{RK} = k_1 + 2 \times k_2 + 2 \times k_3 + k_4 \quad (16-1)$$

### 4.3. Updating solutions

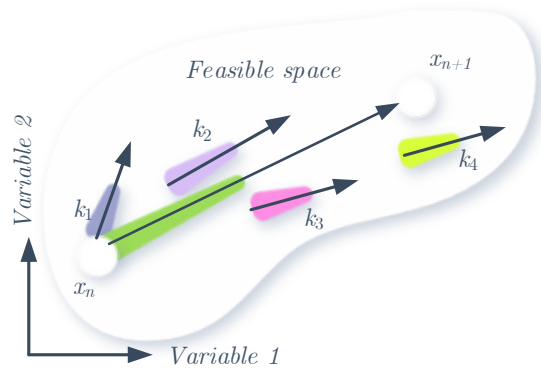
The RUN algorithm begins the optimization process with a set of random individuals (solutions). At each iteration, solutions update their positions using the RK method. To do this, RUN uses a solution and the search mechanism obtained by the RK method. Figure 2 depicts how a position updates its position by using the RK method. In this study, to provide the global (exploration) and local (exploitation) search, the following scheme is implemented to create the position at the next iteration:

$$\begin{aligned}
 &\mathbf{if} \text{ rand} < 0.5 \\
 &\quad \mathbf{(exploration phase)} \\
 &\quad \quad x_{n+1} = (x_c) + SF \times SM + \mu \times x_s \\
 &\mathbf{else} \\
 &\quad \mathbf{(exploitation phase)} \\
 &\quad \quad x_{n+1} = (x_m) + SF \times SM + \mu \times x_s \\
 &\mathbf{end}
 \end{aligned} \quad (17)$$

in which

$$\mu = 0.5 + 0.1 \times randn$$

where  $\mu$  is a random number,  $randn$  is a random number with a normal distribution.



**Fig. 2.** Slopes employed by the RK to obtain the next position ( $x_{k+1}$ ) in the RUN algorithm

The formulas of  $x_s$  and  $x_{s'}$  are expressed as

$$x_s = \mathit{randn} \cdot (x_m - x_c) \quad (17-1)$$

$$x_{s'} = \mathit{randn} \cdot (x_{r1} - x_{r2}) \quad (17-2)$$

$x_m$  and  $x_c$  can be calculated as follows:

$$x_c = \varphi \times x_n + (1 - \varphi) \times x_{r1} \quad (17-3)$$

$$x_m = \varphi \times x_{best} + (1 - \varphi) \times x_{lbest} \quad (17-4)$$

where  $\varphi$  is a random number in the range of (0,1).  $x_{best}$  is the best-so-far solution.  $x_{lbest}$  is the best position obtained at each iteration.  $SF$  is an adaptive factor, which is given by:

$$SF = 2 \cdot (0.5 - \mathit{rand}) \times f \quad (17-5)$$

in which

$$f = a \times \exp\left(-b \times \mathit{rand} \times \left(\frac{i}{Maxi}\right)\right) \quad (17-6)$$

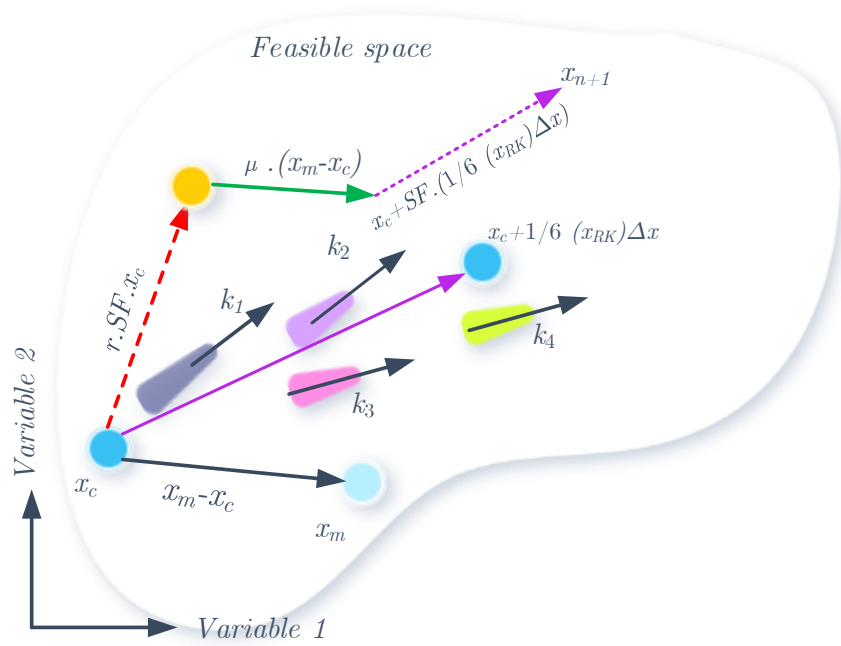
where  $b$  and  $c$  are two constant numbers.  $i$  is the number of iterations.  $Maxi$  is the maximum number of iterations. In this study,  $SF$  was employed to provide a suitable balance between exploration and exploitation. Based on Eq. (17-5), a large value of  $SF$  is specified in the early iterations to increase the diversity and enhance the exploration search; then, its value reduces to promote the exploitation search capability by increasing the number of iterations. The main control parameters of RUN include two parameters employed in the ( $SF$ ), which are  $a$  and  $b$ .

The rule in Eq. (17) shows that the proposed RUN selects the exploration and exploitation phases based on the condition  $\mathit{rand} < 0.5$ . This novel procedure used for optimization in RUN ensures that if  $\mathit{rand} < 0.5$ , a global search is applied in the solution space and a local search around solution  $x_c$  is performed simultaneously. By implementing a novel global search (exploration), the RUN can explore the search space's superior promising regions. On the other hand, if  $\mathit{rand} \geq 0.5$ , RUN uses a local search around solution  $x_m$ . By applying this local search phase, the proposed algorithm can effectively increase the convergence speed and focus on high-quality solutions.

To perform the local search around the solutions  $x_c$  and  $x_m$  and explore the promising regions in the search space, Eq. (17) is rewritten as follows:

$$\begin{aligned}
& \mathbf{if} \text{ rand} < 0.5 \\
& \quad \text{(exploration phase)} \\
& \quad x_{n+1} = (x_c + r \times SF \times g \times x_c) + SF \times SM + \mu \times x_s \\
& \quad \mathbf{else} \\
& \quad \quad \text{(exploitation phase)} \\
& \quad \quad x_{n+1} = (x_m + r \times SF \times g \times x_m) + SF \times SM + \mu \times x_{sr} \\
& \quad \mathbf{end}
\end{aligned} \tag{18}$$

where  $r$  is an integer number, which is 1 or -1. This parameter changes the search direction and increases diversity.  $g$  is a random number in the range  $[0, 2]$ . According to Eq. (18), the local search around  $x_c$  decreases as the number of iterations increases. Fig. 3 displays the search mechanism of RUN, indicating how to generate position  $x_{n+1}$  at the next iteration.



**Fig. 3.** Search mechanism of the RUN

#### 4.4. Enhanced solution quality

In the RUN algorithm, enhanced solution quality (ESQ) is employed to increase the quality of solutions and avoid local optima in each iteration. By applying

ESQ, the RUN algorithm ensures that each solution moves toward a better position. In the proposed ESQ, the average of three random solutions ( $x_{avg}$ ) is calculated and combined with the best position ( $x_b$ ) to generate a new solution ( $x_{new1}$ ). The following scheme is executed to create the solution ( $x_{new2}$ ) by using the ESQ:

```

if  $rand < 0.5$ 
  if  $w < 1$ 
     $x_{new2} = x_{new1} + r.w. |(x_{new1} - x_{avg}) + randn|$ 
  else
     $x_{new2} = (x_{new1} - x_{avg}) + r.w. |(u.x_{new1} - x_{avg}) + randn|$ 
  end
end

```

in which

$$w = rand(0, 2).exp\left(-c\left(\frac{i}{Maxi}\right)\right)$$

$$x_{avg} = \frac{x_{r1} + x_{r2} + x_{r3}}{3}$$

$$x_{new1} = \beta \times x_{avg} + (1 - \beta) \times x_{best}$$

where  $\beta$  is a random number in the range of  $[0, 1]$ .  $c$  is a random number, which is equal to  $5 \times rand$  in this study.  $w$  is a random number, which decreases with the increasing number of iterations.  $r$  is an integer number, which is 1, 0, or -1.  $x_{best}$  is the best solution explored so far. According to the above scheme, for  $w < 1$  (i.e., the later iterations), solution  $x_{new2}$  trends to create an exploitation search, while for  $w \geq 1$  (i.e., the early iterations), solution  $x_{new2}$  trends to make an exploration search. Note that in the latter condition, to increase the diversity, parameter  $u$  is defined. It is noteworthy that ESQ is applied when the condition  $rand < 0.5$  is met.

The solution calculated in this part ( $x_{new2}$ ) may not have better fitness than that of the current solution (i.e.,  $f(x_{new2}) > f(x_n)$ ). To have another chance for creating a good solution, another new solution ( $x_{new3}$ ) is generated, which is defined as follows:

**if**  $rand < w$

$$x_{new3} = (x_{new2} - rand.x_{new2}) + SF.(rand.x_{RK} + (v.x_b - x_{new2}))$$

**end**

where  $v$  is a random number with a value of  $2 \times rand$ . In fact, the new solution ( $x_{new3}$ ) is implemented when the condition  $rand < w$  is met. The main objective of Eq. (20) is to move the solution  $x_{new2}$  towards a better position. In the first rule of this equation, a local search around  $x_{new2}$  is generated, and in the second rule, RUN

attempts to explore the promising regions with the movement towards the best solution. Hence, to emphasize the importance of the best solution, coefficient  $v$  is used. It should be noted that to calculate  $x_{RK}$ , solutions  $x_b$  and  $x_w$  become  $x_k$  and  $x_{new2}$ , respectively, because the fitness value of  $x_n$  is less than that of  $x_{new2}$  (i. e.,  $f(x_{new2}) > f(x_n)$ ). The pseudo-code of and flowchart of RUN are presented in **Algorithm 1.** and Fig. 4, respectively.

---

**Algorithm 1.** The pseudo-code of RUN

---

**Stage 1. Initialization**

Initialize  $a, b$

Generate the RUN population  $X_n (n = 1, 2, \dots, N)$

Calculate the objective function of each member of population

Determine the solutions  $x_w, x_b$ , and  $x_{best}$

**Stage 2. RUN operators**

for  $i = 1 : Maxi$

for  $n = 1 : N$

for  $l = 1 : D$

Calculate position  $x_{n+1,l}$  using Eq. 18

end for

**Enhance the solution quality**

if  $rand < 0.5$

Calculate position  $x_{new2}$  using Eq. 19

if  $f(x_n) < f(x_{new2})$

if  $rand < w$

Calculate position  $x_{new3}$  using Eq. 20

end

end

end

Update positions  $x_w$  and  $x_b$

end for

Update position  $x_{best}$

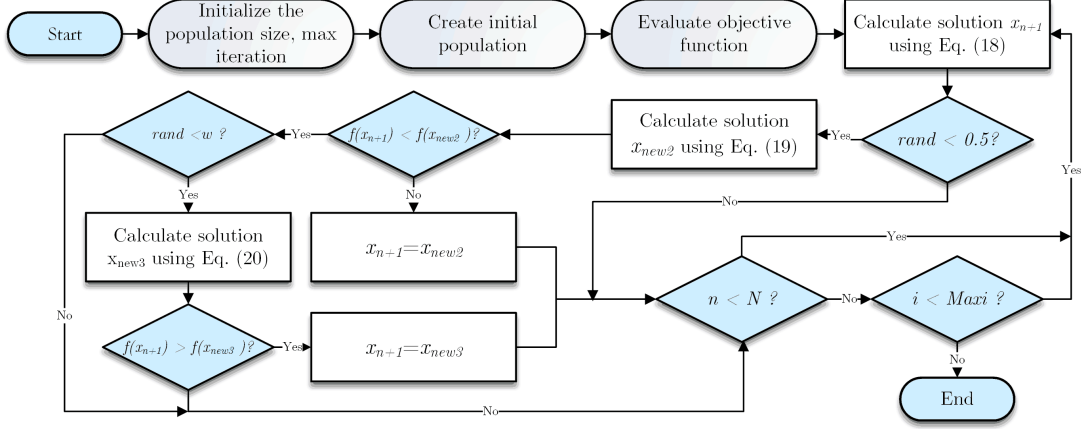
$i = i + 1$

end

**Stage 3.** return  $x_{best}$

---





**Fig. 4.** Flowchart of the RUN algorithm

As shown in Fig. 5, three paths are considered for optimization in RUN. The proposed algorithm first uses the RK search mechanism to generate position  $x_{n+1}$  and then employs the ESQ mechanism to explore the promising regions in the search space. According to this mechanism, RUN follows three paths to reach a better solution. In the first and second paths, position  $x_{new2}$  calculated by the ESQ is compared with the position  $x_{k+1}$ . If the fitness of  $x_{new2}$  is worse than that of  $x_{k+1}$  (i.e.,  $f(x_{new2}) > f(x_{n+1})$ ), another position ( $x_{new3}$ ) is generated. If  $f(x_{new3}) < f(x_{n+1})$ , the best solution is  $x_{new3}$  (second path). Otherwise, it is  $x_{n+1}$  (first path). In the third path, if  $f(x_{new2}) < f(x_{n+1})$ , the best solution is  $x_{new2}$ .

The following characteristics theoretically demonstrate the proficiency of RUN in solving various complex optimization problems:

- Scale factor ( $SF$ ) has a randomized adaptation nature, which assists RUN in further improving the exploration and exploitation steps. This parameter ensures a smooth transition from exploration to exploitation.
- Using the average position of solutions can promote RUN's exploration tendency in the early iterations.
- RUN employs a search mechanism based on the RK method to boost both exploration and exploitation abilities.
- The enhanced solution quality (ESQ) in the RUN algorithm utilizes the thus-far best solution to promote the quality of solutions and improve the convergence speed.
- In the RUN algorithm, it is possible that if the new solution is not in a better position than the current solution, it can identify a new different position in the search space to reach a better position. This process can enhance the quality of solutions and improve the convergence rate.
- The search mechanism and ESQ use two randomized variables to emphasize the importance of the best solution and move toward the global best solution, which can effectively balance the exploration and exploitation steps.

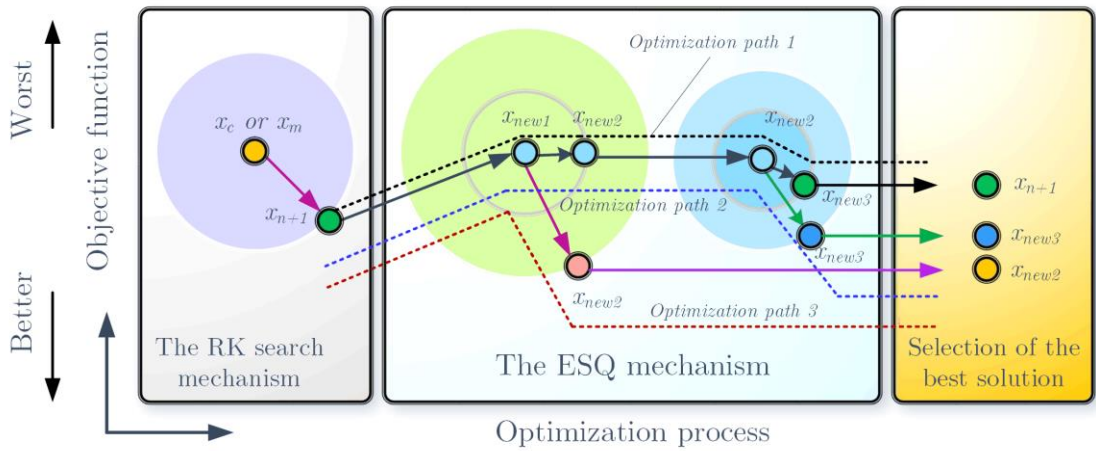


Fig. 5. Optimization process in the RUN

#### 4.5. Computational complexity

RUN algorithm mainly includes the following parts: initialization, getting the maximum and minimum fitness, getting the minimum in three random individuals, exploration of the search space, parameter updating, and fitness evaluation. Among them,  $N$  indicates the number of individuals in the population,  $D$  is the problem's dimension, and  $MaxFES$  indicates the maximum number of iterations. The computational complexity of initialization, fitness evaluation, parameter updating, and exploration of the search space is  $O(N)$ , getting the minimum in three random individuals is  $O(3^2)$  and the getting the maximum and minimum fitness is  $O(N^2)$ . From this, we can get the complexity of the whole algorithm:  $O(2N + 2N^2 + MaxFES \times (3N + N^2 + 3^2))$ .

#### 5. Results and discussion

The new RUN algorithm's ability was verified using 20 benchmark functions, which have been used by many researchers (Ahmadianfar, et al., 2019; Huang, et al., 2019; Tian & Gao, 2017; Zhao, et al., 2019). The set of benchmark problems employed in this study involves three families of mathematical functions: unimodal functions (UFs) ( $f_1$ - $f_6$ ), multimodal functions (MFs) ( $f_7$ - $f_{14}$ ), and hybrid functions (HFs) ( $f_{15}$ - $f_{20}$ ). The details on these test functions are shown in Tables 1-3.

**Table 1.** Unimodal test functions.

Function	$D$	Range	$f_{min}$
$f_1(x) = x_1^2 + 10^6 \sum_{i=2}^D x_i^2$	30	[-100, 100]	0
$f_2(x) = \sum_{i=1}^D  x_i ^{i+1}$	30	[-100, 100]	0
$f_3(x) = \sum_{i=1}^D x_i^2 + \left(\sum_{i=1}^D 0.5x_i\right)^2 + \left(\sum_{i=1}^D 0.5x_i\right)^4$	30	[-100, 100]	0
$f_4(x) = \sum_{i=1}^{D-1} [100(x_{i+1} - x_i^2)^2 + (x_i - 1)^2]$	30	[-100, 100]	0
$f_5(x) = 10^6 x_1^2 + \sum_{i=2}^D x_i^2$	30	[-100, 100]	0
$f_6(x) = \sum_{i=1}^D (10^6)^{\frac{i-1}{D-1}} x_i^2$	30	[-100, 100]	0

**Table 2.** Multimodal test functions.

Function	$D$	Range	$f_{min}$
$f_7(x) = g(x_1, x_2) + g(x_2, x_3) + \dots + g(x_{D-1}, x_D) + g(x_D, x_1)$			
$g(x, y) = 0.5 + \frac{(\sin^2(\sqrt{x^2 + y^2}) - 0.5)}{(1 + 0.001(x^2 + y^2))^2}$	30	[-100, 100]	0
$f_8(x) = \sin^2(\pi w_1) + \sum_{i=1}^{D-1} (w_i - 1)^2 [1 + 10 \sin^2(\pi w_i + 1)] + (w_D - 1)^2 [1 + \sin^2(2\pi w_D)]$	30	[-100, 100]	0
where $w_i = 1 + \frac{x_i - 1}{4}$			
$f_9(x) = 418.9829 \times D - \sum_{i=1}^D g(z_i), \quad z_i = x_i + 4.209687462275036e+002$			
$g(z_i) = \begin{cases} z_i \sin( z_i ^{\frac{1}{2}}) & \text{if }  z_i  \leq 500 \\ (500 - \text{mod}(z_i, 500)) \sin(\sqrt{ 500 - \text{mod}(z_i, 500) }) - \frac{(z_i - 500)^2}{10000D} & \text{if } z_i > 500 \\ (\text{mod}( z_i , 500) - 500) \sin(\sqrt{ \text{mod}( z_i , 500) - 500 }) - \frac{(z_i + 500)^2}{10000D} & \text{if } z_i < -500 \end{cases}$	30	[-100, 100]	0
$f_{10}(x) = 20 - 20 \times \exp(-0.2 \sqrt{\frac{1}{D} \sum_{i=1}^D x_i^2}) - \exp(\frac{1}{D} \sqrt{\sum_{i=1}^D \cos(2\pi x_i)}) + e$	30	[-32, 32]	0
$f_{11}(x) = \sum_{i=1}^D \left( \sum_{k=0}^{kmax} [a^k \cos(2\pi b^k (x_i + 0.5))] \right) - D \sum_{k=0}^{kmax} [a^k \cos(2\pi b^k \cdot 0.5)]$	30	[-100, 100]	0
$a = 0.5, b=3, kmax = 20$			

$$\begin{aligned}
f_{12}(x) &= \left| \sum_{i=1}^D x_i^2 - D \right|^{\frac{1}{4}} + (0.5 \sum_{i=1}^D x_i^2 + \sum_{i=1}^D x_i) / D + 0.5 & 30 & [-100, 100] & 0 \\
f_{13}(x) &= \frac{1}{4000} \sum_{i=1}^D x_i^2 - \prod_{i=1}^D \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1 & 30 & [-600, 600] & 0 \\
f_{14}(x) &= \frac{\pi}{D} \left\{ 10 \sin(\pi z_1) + \sum_{i=1}^{D-1} (z_i - 1)^2 [1 + 10 \sin^2(\pi z_{i+1})] + (z_D - 1)^2 \right\} \\
&\quad + \sum_{i=1}^D u(x_i, 10, 100, 4) & 30 & [-50, 50] & 0 \\
z_i &= 1 + \frac{x_i + 1}{4}
\end{aligned}$$


---

**Table 3.** Hybrid benchmark functions

Test function	Name	$D$	Search space	$f_{min}$
$f_{15}(x)$	HF 1 ( $N=3$ )	30	[-100, 100]	1700
$f_{16}(x)$	HF 2 ( $N=3$ )	30	[-100, 100]	1800
$f_{17}(x)$	HF 3 ( $N=4$ )	30	[-100, 100]	1900
$f_{18}(x)$	HF 4 ( $N=4$ )	30	[-100, 100]	2000
$f_{19}(x)$	HF 5 ( $N=5$ )	30	[-100, 100]	2100
$f_{20}(x)$	HF 6 ( $N=5$ )	30	[-100, 100]	2200

The unimodal test functions with a global best position can evaluate different optimization algorithms' exploitative behavior, while the multimodal test functions can assess their exploration and local optima avoidance capabilities. It should be noted that the hybrid test functions are more challenging and complicated than the unimodal and multimodal test functions (Ahmadianfar, Bozorg-Haddad, et al., 2020). Therefore, they are incredibly suitable to validate the optimizers' ability to solve complicated real-world optimization problems. The proposed RUN results and efficiency were compared with those of other well-known algorithms, including the GWO (Mirjalili, et al., 2014), WOA (Mirjalili & Lewis, 2016), WCA (Eskandar, et al., 2012), IWO (Hosseini, 2007), and CS (Yang & Deb, 2010) algorithms, based on the average and standard deviation of the results. The GWO and IWO were included in the comparisons, as these widely-used methods are two examples of the metaphor-based optimizers (Camacho Villalón, et al., 2020). Six different test functions were selected to assess the effects of the RUN algorithm qualitatively. Figure 6 depicts the qualitative results of test functions f1, f2, f4, f7, f10, and f12. RUN was employed for minimizing these functions by using five solutions over 200 iterations.

### 5.1. Experimental setup

The population size and the total number of iterations were set respectively equal to 50 and 500 for the UFs and MFs and 50 and 1000 for the HFs. All results were presented and compared in terms of the optimization algorithms' average efficiencies over 30 independent runs. For GWO, WOA, CS, IWO, and WCA, the control parameters were the same as those suggested in the original work. Table 4 lists the parameters used in this study

**Table 4.** Parameter settings of optimization algorithms

Optimizers	Parameters
RUN	$a = 20$ and $b = 12$
GWO	$a = 2 - 2 \times i/Maxi$
WOA	$a = 2 - 2 \times i/Maxi$ $a_2 = -1 - 1 \times i/Maxi$
CS	Rate of discovery = 0.25
WCA	number of rivers + sea ( $N_{sr}$ ) = 10 a controlling parameter ( $d_{max}$ ) = 0.1
IWO	maximum number of seeds ( $S_{max}$ ) = 15 minimum number of seeds ( $S_{min}$ ) = 0 initial value of standard deviation $\sigma_{initial} = 5$ final value of standard deviation $\sigma_{final} = 0.01$

## 5.2. Qualitative results of RUN

Three well-known qualitative metrics used to demonstrate RUN's performance were search history, trajectory graph, and convergence curve. The search history graph discloses the history of the RUN algorithm's positions during the optimization process. The trajectory curve displays how the first dimension of a solution changed during the iterations. The convergence curve demonstrates how the fitness value of the best solution changed during the optimization process.

Figure 6 shows that RUN yielded a similar pattern to solve different problems regarding the history of positions. This indicates that an attempt was made to initially increase the exploration and find the promising regions of the search space and then exploit the neighborhood of the best solutions. From the trajectory curves in Fig. 6, it can be observed that RUN began the searching process with sudden fluctuations, which involved about 100% of the search space. This behavior reveals the exploration tendency of the RUN algorithm. As the number of iterations increased, the amplitude of these variations reduced. This procedure ensured the transition of RUN from the exploratory search towards exploitative trends. Therefore, it is concluded from the trajectory graphs that the RUN algorithm first provided the exploration trend and then shifted to the exploitation stage.

The convergence graph is usually employed to assess the convergence performance of optimizers. Fig. 6 displays an accelerated reducing pattern in all convergence curves, especially in the early iterations. It also shows the approximate

timing when RUN transferred from the exploration to the exploitation phase. These results demonstrate the suitable accelerated convergence behavior of RUN.

### 5.3. Assessment of the exploitative behavior

Typically, UFs are used to test the exploitability of the optimization algorithms. Since UFs ( $f_1$ - $f_6$ ) have only one global best solution, they can be used to evaluate the exploitation ability of the optimization algorithms. Table 5 shows the results of the RUN, GWO, WOA, CS, IWO, and WCA algorithms for the UFs, including the average, best, and standard deviation values of the fitness function for 30 different runs. The comparisons of RUN with the five other meta-heuristic optimization algorithms demonstrated that RUN was the best optimizer to solve the UFs and provide competitive results. Particularly, the proposed RUN algorithm exhibited an excellent exploitation behavior.

### 5.4. Assessment of the exploratory behavior

The multimodal functions ( $f_7$ - $f_{14}$ ) were used to validate all optimizers' exploratory behaviors since they had many local optimal solutions. Table 5 shows the results of MFs obtained by the RUN, GWO, WOA, CS, IWO, and WCA algorithms, indicating the superior performance of RUN to the other optimizers, except for  $f_{11}$ . For function  $f_{11}$ , RUN was inferior to the WOA algorithm and superior to GWO and WCA. The results presented in Table 5 for test functions  $f_7$ - $f_{14}$  demonstrate that RUN also has a superior exploration ability due to the use of the exploration mechanism that ensures the search process towards the global best solution.

### 5.5. Ability to avoid local optima

The RUN's ability to avoid the local optima was evaluated by using hybrid functions ( $f_{15}$  -  $f_{20}$ ). These test functions are regarded as the most complicated benchmark test functions, and only an optimizer with an appropriate balance between global and local optima can avoid the local solutions. Table 6 presents the results of RUN and the five other optimizers on the HFs.

For the results of the HFs in Table 6, it can be clearly observed that RUN was the best optimizer among the six optimization algorithms on functions  $f_{15}$ - $f_{19}$  according to their average fitness values. For function  $f_{20}$ , RUN was surpassed by GWO but superior to the WOA, CS, IWO, and WCA algorithms. Indeed, the proposed optimizer was the second-best effective optimizer for this test functions. This capability is due to the adaptive mechanism employed to update the  $SF$  parameter and the ESQ mechanism in the proposed RUN, which assures a good transition from exploration to exploitation.

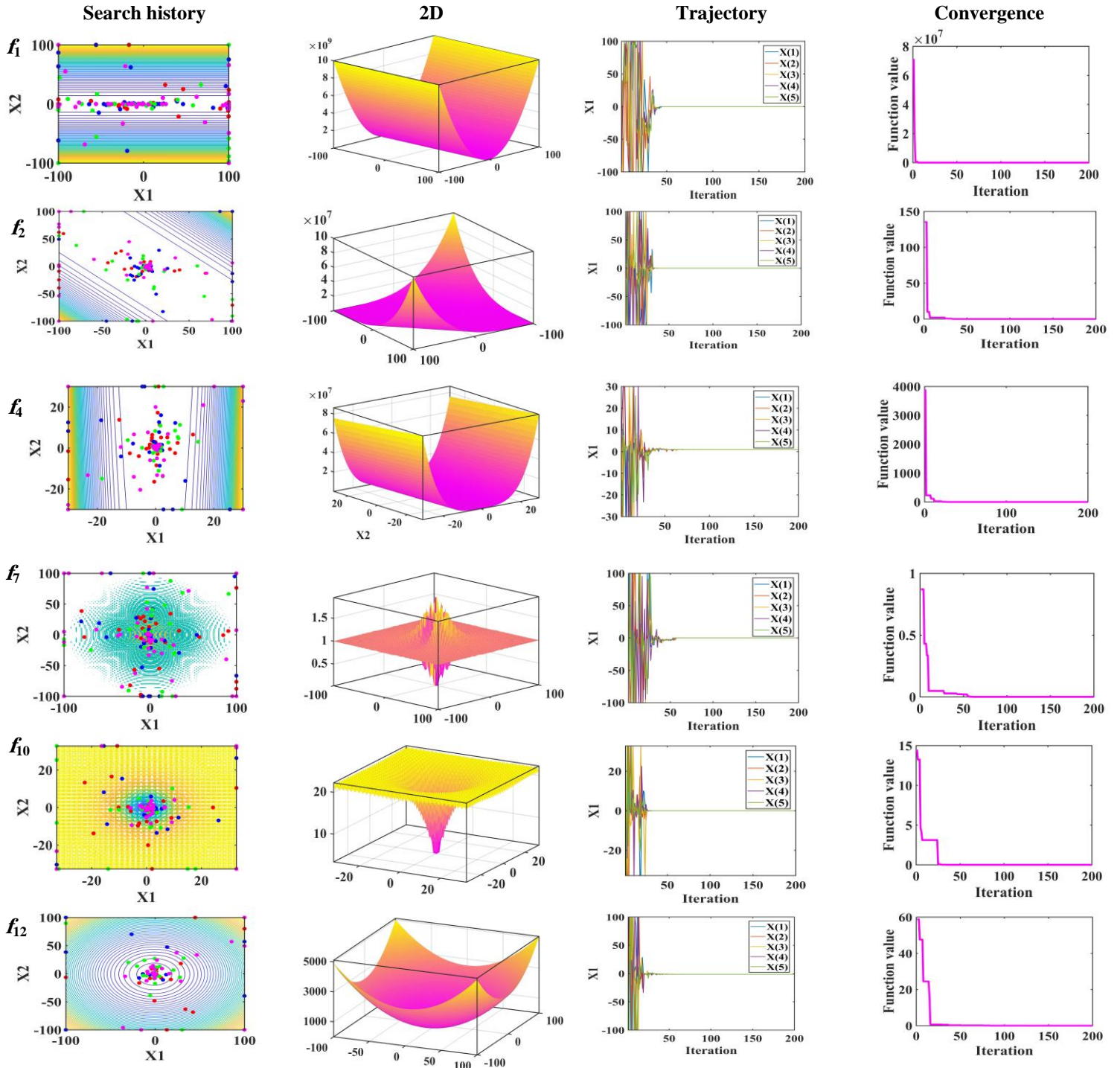


Fig. 6. Qualitative results of six benchmark test functions

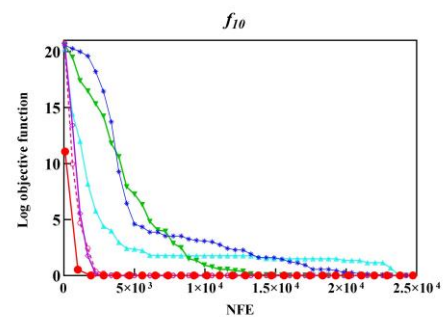
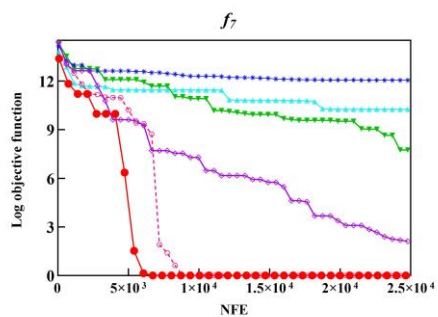
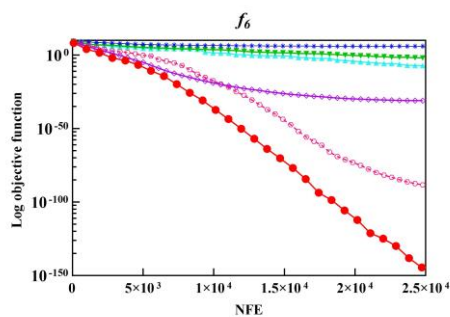
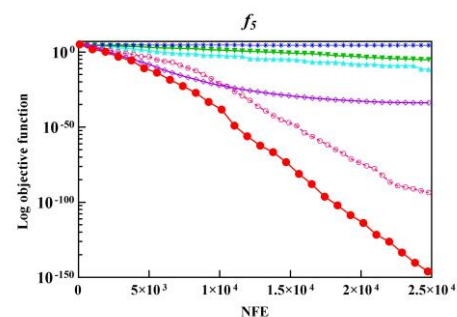
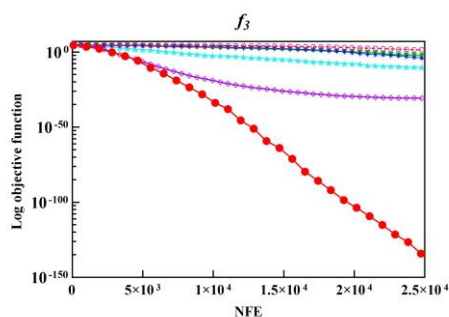
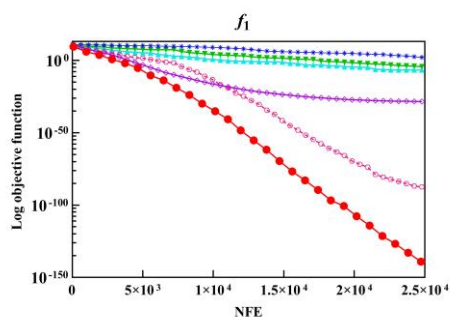
**Table 5.** Results of the UFs and MFs from RUN and five other meta-heuristic optimization algorithms

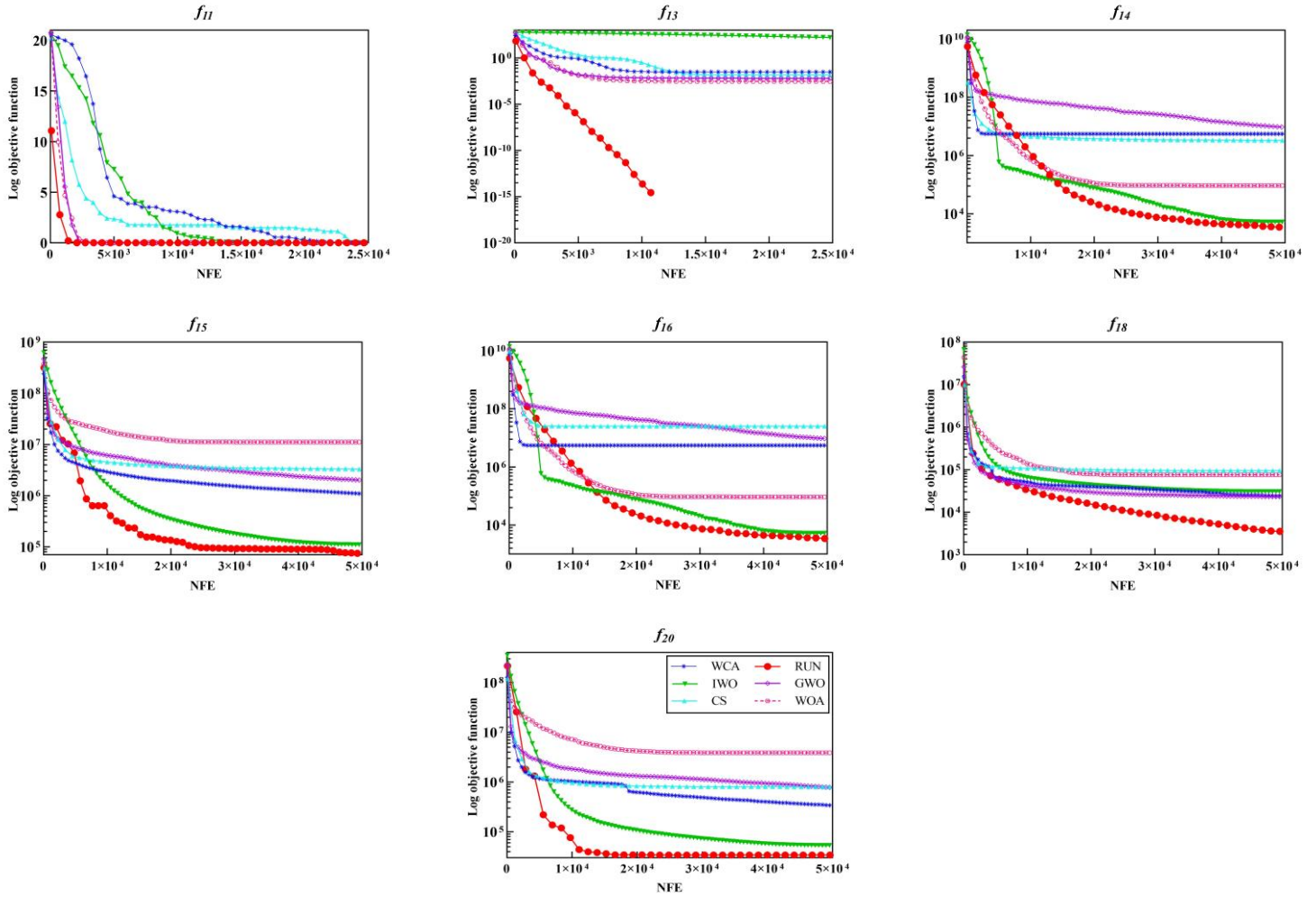
Optimizer		UFs							
		$f_1$	$f_2$	$f_3$	$f_4$	$f_5$	$f_6$		
RUN	Average	<b>1.75E-132</b>	<b>6.68E-267</b>	<b>2.16E-129</b>	<b>2.45E+01</b>	<b>1.26E-137</b>	<b>2.35E-130</b>		
	Best	5.31E-145	3.55E-278	1.81E-145	2.29E+01	6.74E-147	1.20E-145		
	SD	9.04E-132	0.00E+00	1.18E-128	1.04E+00	5.31E-137	1.29E-129		
GWO	Average	3.87E-27	4.17E-97	5.78E-29	2.68E+01	5.60E-33	5.14E-30		
	Best	4.33E-29	2.8E-108	2.25E-31	2.52E+01	1.61E-34	1.12E-31		
	SD	7.73E-27	1.87E-96	1.48E-28	7.53E-01	5.84E-33	8.14E-30		
CS	Average	2.52E-02	1.81E+01	9.00E-01	1.39E+02	5.16E-04	1.88E-01		
	Best	4.44E-05	1.46E-06	5.38E-03	2.96E+01	6.67E-06	1.22E-02		
	SD	1.17E-01	8.44E+01	1.70E+00	2.37E+02	7.63E-04	3.04E-01		
WCA	Average	2.31E-05	6.77E-07	5.02E-09	7.38E+01	6.27E-07	2.86E+03		
	Best	2.22E-07	4.05E-25	1.11E-10	8.80E-01	3.13E-12	7.39E-08		
	SD	7.01E-05	3.70E-06	9.07E-09	6.54E+01	3.00E-06	7.78E+03		
WOA	Average	6.75E-80	1.56E-110	5.52E+03	2.75E+01	2.86E-84	1.30E-81		
	Best	9.43E-89	9.17E-141	2.88E+01	2.69E+01	2.63E-94	2.90E-89		
	SD	2.45E-79	7.86E-110	3.85E+03	4.12E-01	1.11E-83	5.59E-81		
IWO	Average	3.18E+03	1.53E+03	4.24E+02	4.10E+04	5.69E+04	5.01E+06		
	Best	8.84E+01	1.06E-05	6.12E-05	2.37E+01	4.21E+04	1.25E+06		
	SD	3.14E+03	1.96E+03	6.40E+02	9.02E+04	1.23E+04	2.57E+06		
		MFs							
		$f_7$	$f_8$	$f_9$	$f_{10}$	$f_{11}$	$f_{12}$	$f_{13}$	$f_{14}$
RUN	Average	<b>0.00E+00</b>	<b>2.04E-01</b>	<b>3.82E-04</b>	<b>8.88E-16</b>	1.04E-13	<b>3.42E-01</b>	<b>0.00E+00</b>	<b>6.59E-08</b>
	Best	0.00E+00	4.21E-07	3.82E-04	8.88E-16	6.39E-14	2.33E-01	0.00E+00	3.33E-08
	SD	0.00E+00	1.13E-01	0.00E+00	0.00E+00	1.63E-14	7.53E-02	0.00E+00	1.95E-08
GWO	Average	5.91E+00	1.01E+00	3.82E-04	4.46E-14	2.91E+01	6.39E-01	6.13E-03	3.20E-02
	Best	2.11E+00	6.36E-01	3.82E-04	3.64E-14	2.27E+01	4.41E-01	0.00E+00	6.40E-03
	SD	2.20E+00	1.59E-01	8.72E-13	4.19E-15	3.34E+00	9.60E-02	1.20E-02	2.33E-02
CS	Average	9.86E+00	2.41E+00	4.12E-04	3.73E-03	6.23E-02	5.93E-01	1.47E-02	1.69E-01
	Best	7.74E+00	6.28E-01	3.82E-04	4.69E-04	8.53E-14	4.42E-01	4.35E-10	5.29E-08
	SD	8.36E-01	2.27E+00	4.54E-05	3.44E-03	9.52E-02	8.40E-02	1.80E-02	2.68E-01
WCA	Average	1.20E+01	2.92E+03	5.19E-03	3.40E+00	1.20E-01	5.30E-01	3.13E-02	3.64E-01
	Best	1.03E+01	1.10E+03	3.82E-04	2.19E-02	8.53E-14	2.53E-01	5.08E-12	1.53E-12
	SD	6.12E-01	1.36E+03	2.63E-02	2.28E+00	5.12E-01	1.50E-01	3.86E-02	7.04E-01
WOA	Average	3.00E+00	5.12E-01	3.82E-04	3.73E-15	<b>1.92E-14</b>	5.24E-01	3.05E-03	1.03E-02
	Best	0.00E+00	6.99E-02	3.82E-04	8.88E-16	7.11E-15	2.60E-01	0.00E+00	1.30E-03
	SD	4.43E+00	3.58E-01	5.55E-13	2.70E-15	6.62E-14	1.88E-01	1.67E-02	1.59E-02
IWO	Average	1.30E+01	4.59E+03	6.89E+02	1.24E+00	5.29E+00	3.58E-01	1.67E+02	1.27E-01
	Best	1.21E+01	3.25E+03	3.90E-04	5.07E-03	3.00E+00	2.21E-01	9.25E+01	4.80E-02
	SD	4.09E-01	6.11E+02	3.84E+02	4.71E+00	1.57E+00	8.82E-02	3.99E+01	8.93E-02



**Table 6.** Statistical results of the HFs from RUN and five other optimizers

Optimizer		HFs					
		$f_{15}$	$f_{16}$	$f_{17}$	$f_{18}$	$f_{19}$	$f_{20}$
RUN	Average	<b>104191.21</b>	<b>3435.33</b>	<b>1919.53</b>	<b>3519.30</b>	<b>48127.89</b>	2674.29
	Best	26504.80	2149.82	1911.91	2345.66	10865.46	2229.29
	SD	42897.96	801.49	5.01	2215.65	22065.81	227.33
GWO	Average	2017606.11	9419404.67	1945.42	23438.34	865855.49	<b>2581.81</b>
	Best	243778.74	4056.06	1912.26	11065.71	66706.84	2250.33
	SD	2197530.17	22146302.91	26.45	12065.16	1222558.84	145.41
CS	Average	1638591.37	8614.09	1931.73	94953.78	405641.76	3114.17
	Best	168986.27	2070.91	1909.39	3577.19	16508.82	2364.87
	SD	1608329.34	8165.00	30.62	309592.19	577986.74	364.57
WCA	Average	1096464.13	5561515.91	1927.69	24082.37	339962.26	2832.20
	Best	177033	2413.67	1910.27	5378.61	23640.99	2579.874
	SD	742290.81	30411215.42	29.31	15291.10	223453.44	136.44
WOA	Average	11178976.28	93612.11	1964.90	76381.26	3876550.62	3084.20
	Best	2520022.97	9512.03	1919.07	28141.42	189834.25	2476.51
	SD	7349962.08	94864.91	34.80	48244.50	4182086.86	252.11
IWO	Average	110385.61	5178.86	1922.03	30483.82	53137.20	3263.82
	Best	15620.9	2229.473	1907.79	3739.462	11885.51	2729.88
	SD	73296.20	3721.69	21.40	13771.33	31510.29	283.44





**Fig. 7.** Convergence graphs of the RUN and five other optimizers for the selected UFs, MFs, and HFs

### 5.6. Assessment of the convergence ability

Notwithstanding, the results presented in Tables 5-6 demonstrate the RUN algorithm's superior efficiency compared with the other optimizers. However, the convergence behavior analysis must also be performed to further assess the proposed RUN 's performance in solving optimization problems. The convergence curves of RUN, GWO, WOA, CS, IWO, and WCA are depicted in Fig. 7, revealing the relationships of the best-so-far fitness value explored (y-axis) and the number of functional evaluations (NFE) (x-axis).

According to the convergence curves (Fig. 7), the following conclusions can be obtained:

- Concerning the convergence rate, the IWO, WCA, and CS algorithms displayed weak performances in optimizing the UFs and MFs, followed by the WOA and GWO algorithms.
- The RUN optimizer had a faster convergence curve than the other algorithms for the unimodal and multimodal test functions due to the proper balance between exploration and exploitation.
- For the HFs, the convergence rate of RUN tended to be accelerated by increasing the number of functional evaluations due to the ESQ and adaptive mechanism, which helped it to explore the promising areas of the solution space in the early iterations and more quickly converge towards the optimal solution after spending about 15% of the total number of function evaluations.
- The convergence curves revealed that RUN did provide a more suitable convergence speed to optimize the test functions than the other optimizers.

### 5.7. Ranking analysis

The Friedman and Quade tests (Derrac, et al., 2011) were conducted to determine the six optimizers' influential performances. These tests employ a nonparametric two-way analysis of variance, which allows the comparison of several samples. Based on the Friedman test, all samples are equal in terms of importance. In contrast, the Quade test considers the fact that some samples are more difficult or complicated than others and, thus, provides a weighted ranking analysis of the samples (Derrac, et al., 2011).

Tables 7 and 8 show the Friedman and Quade test ranks, including the individual, average, and final ranks for the average fitness values from RUN and the five other optimizers on all UF, MF, and HF test functions. The Friedman and Quade test results indicated that the RUN algorithm performed the best among the six algorithms on all test functions.

**Table 7.** Friedman ranks for the UFs, MFs, and HFs for RUN and five other optimizers

Optimizers	UFs						Average Rank	Rank
	$f_1$	$f_2$	$f_3$	$f_4$	$f_5$	$f_6$		
RUN	1	1	1	1	1	1	1.00	<b>1</b>
GWO	3	3	2	2	3	3	2.67	2
CS	5	6	4	6	5	4	5.00	5
WCA	4	4	5	4	4	5	4.33	4
WOA	2	2	6	3	2	2	2.83	3
IWO	6	5	3	5	6	6	5.17	6

Optimizers	MFs								Average Rank	Rank
	$f_7$	$f_8$	$f_9$	$f_{10}$	$f_{11}$	$f_{12}$	$f_{13}$	$f_{14}$		
RUN	1	1	2	1	2	1	1	1	1.25	<b>1</b>
GWO	3	3	2	3	6	6	3	3	3.63	4
CS	4	4	4	4	3	5	4	5	4.13	3

WCA	5	5	5	6	4	4	5	6	5.00	5
WOA	2	2	2	2	1	3	2	2	2.00	2
IWO	6	6	6	5	5	2	6	4	5.00	5
HF <sub>s</sub>										
	$f_{15}$	$f_{16}$	$f_{17}$	$f_{18}$	$f_{19}$	$f_{20}$				
RUN	1	1	1	1	1	2	1.17	<b>1</b>		
GWO	5	6	5	3	5	1	4.17	4		
CS	4	3	4	6	4	5	4.33	5		
WCA	3	5	3	2	3	3	3.17	3		
WOA	6	4	6	5	6	4	5.17	6		
IWO	2	2	2	4	2	6	3.00	2		

**Table 8.** Quade ranks for the UFs, MFs, and HF<sub>s</sub> for RUN and five other optimizers

Optimizers	UF <sub>s</sub>						Average Rank	Rank		
	$f_1$	$f_2$	$f_3$	$f_4$	$f_5$	$f_6$				
RUN	5	1	2	6	3	4	1.00	<b>1</b>		
GWO	10	2	8	12	4	6	2.67	2		
CS	6	15	12	18	3	9	4.57	5		
WCA	16	12	4	20	8	24	4.14	4		
WOA	20	5	30	25	10	15	2.76	3		
IWO	18	12	6	24	30	36	5.86	6		
MF <sub>s</sub>										
	$f_7$	$f_8$	$f_9$	$f_{10}$	$f_{11}$	$f_{12}$	$f_{13}$	$f_{14}$		
RUN	1.5	7	6	3	4	8	1.5	5	1.33	<b>1</b>
GWO	28	24	8	4	32	20	12	16	3.31	3
CS	24	21	3	6	12	18	9	15	3.94	4
WCA	35	40	5	30	15	25	10	20	4.97	5
WOA	16	12	6	2	4	14	8	10	1.89	2
IWO	30	48	42	18	24	12	36	6	5.56	6
HF <sub>s</sub>										
	$f_{15}$	$f_{16}$	$f_{17}$	$f_{18}$	$f_{19}$	$f_{20}$				
RUN	6	3	1	4	5	2	1.10	<b>1</b>		
GWO	25	30	5	15	20	10	4.57	5		
CS	18	9	3	12	15	6	4.14	4		
WCA	20	24	4	12	16	8	3.33	3		
WOA	36	24	6	18	30	12	5.19	6		
IWO	12	6	2	8	10	4	2.67	2		

Table 9 displays the statistics and  $p$ -values of the Friedman and Quade tests for all test functions. As per the  $p$ -values calculated for the two tests, significant differences can be seen among all optimizers.

**Table 9.** Statistic and  $p$ -value computed by the Friedman and Quade tests for the UFs, MFs, and HFs

	Average ranking	
	Friedman	Quade
UFs		
Statistic	24.7619	10.3445
$p$ -value	1.55e-04	1.83e-05
MFs		
Statistic	28.3333	12.9663
$p$ -value	3.13e-05	3.61E-07
HF s		
Statistic	16.6667	5.0844
$p$ -value	5.20E-03	2.40E-03

### 5.8. Comparison of RUN with advanced optimizers

In order to further evaluate the efficiency of RUN, it was compared with eight advanced optimizers including CGSCA (Kumar, et al., 2017), SCADE (Nenavath & Jatoth, 2018), BMWOA (Heidari, Aljarah, et al., 2019), BWOA (Chen, Xu, et al., 2019), OBLGWO (Heidari, Abbaspour, et al., 2019), CAMES (Hansen, et al., 2003), GL25 (García-Martínez, et al., 2008), and CLPSO (Liang, et al., 2006) in solving the CEC-BC-2017 benchmark functions. The population size, maximum number of iterations, and dimension were set to 30, 500, and 30, respectively. All the optimization algorithms were also performed in 30 different runs for each mathematical test function.

The best, average, and standard deviation of the results calculated by RUN and the eight advanced optimizers are summarized in Table 10. As shown in Table 10, RUN presented promising results on the CEC-BC-2017 functions compared with the other optimizers. Moreover, the proposed RUN displayed the best performance in the 20 test functions ( $f_2, f_4, f_5, f_7, f_8, f_9, f_{10}, f_{11}, f_{13}, f_{15}-f_{24}$ , and  $f_{26}$ ) and the second-best efficiency in the remaining 10 test functions ( $f_1, f_3, f_6, f_{12}, f_{14}, f_{25}$ , and  $f_{27}-f_{30}$ ). In this study, to compute the average ranks of the optimization algorithms and specify their differences, the Friedman test was performed. Table 11 displays the average ranks of all the optimizers, where RUN achieved the best rank (1.33). Therefore, RUN had the best efficiency compared with the eight advanced optimizers. To investigate the convergence speed of RUN, the convergence curves were obtained for all the optimizers on the CEC-BC-2017 functions (Fig. 8). It can be observed from Fig. 8 that RUN achieved accurate solutions with a faster convergence rate than the eight advanced optimizers.

**Table 10.** Statistical results of the RUN and eight advanced optimizers on CEC-BC-2017

		RUN	CGSCA	SCADE	BMWOA	BWOA	OBLGWO	CMAES	GL25	CLPSO
$f_1$	Best	1.44E+04	1.53E+10	1.87E+10	5.20E+08	1.94E+09	4.44E+07	1.04E+02	6.83E+09	7.65E+09
	Average	3.75E+04	2.51E+10	2.97E+10	1.10E+09	5.58E+09	1.57E+08	<b>5.45E+03</b>	1.69E+10	1.16E+10
	SD	1.40E+04	5.37E+09	4.86E+09	3.73E+08	2.05E+09	8.59E+07	5.75E+03	5.28E+09	2.59E+09
$f_2$	Best	2.92E+14	9.54E+33	6.98E+34	6.58E+22	1.25E+27	2.68E+17	2.02E+10	2.93E+30	4.62E+32
	Average	<b>4.17E+17</b>	8.96E+38	1.13E+40	1.86E+30	4.23E+35	3.80E+22	2.59E+31	4.01E+38	1.29E+43
	SD	1.15E+18	2.88E+39	3.27E+40	1.01E+31	1.58E+36	9.92E+22	1.42E+32	1.32E+39	7.05E+43
$f_3$	Best	3.59E+04	5.40E+04	5.72E+04	5.00E+04	5.78E+04	3.27E+04	1.23E+05	1.22E+05	1.09E+05
	Average	5.05E+04	7.16E+04	7.68E+04	7.99E+04	7.51E+04	<b>4.97E+04</b>	1.94E+05	1.72E+05	1.56E+05
	SD	8.29E+03	1.03E+04	7.59E+03	1.03E+04	7.58E+03	8.31E+03	5.92E+04	3.46E+04	2.38E+04
$f_4$	Best	4.71E+02	1.45E+03	4.93E+03	6.09E+02	8.77E+02	5.19E+02	5.02E+02	1.58E+03	1.97E+03
	Average	<b>5.13E+02</b>	3.57E+03	6.99E+03	7.31E+02	1.41E+03	5.57E+02	9.98E+02	3.22E+03	3.08E+03
	SD	1.81E+01	9.87E+02	1.29E+03	1.11E+02	3.98E+02	3.05E+01	3.64E+02	1.07E+03	8.66E+02
$f_5$	Best	5.92E+02	7.79E+02	8.19E+02	7.10E+02	7.23E+02	6.10E+02	5.79E+02	7.44E+02	7.54E+02
	Average	<b>6.53E+02</b>	8.52E+02	8.74E+02	8.09E+02	8.20E+02	6.84E+02	1.22E+03	8.46E+02	8.08E+02
	SD	2.91E+01	3.21E+01	2.41E+01	4.46E+01	3.44E+01	5.05E+01	1.92E+02	3.96E+01	2.60E+01
$f_6$	Best	6.23E+02	6.54E+02	6.58E+02	6.53E+02	6.55E+02	6.07E+02	6.74E+02	6.44E+02	6.41E+02
	Average	6.40E+02	6.70E+02	6.74E+02	6.68E+02	6.74E+02	<b>6.25E+02</b>	6.97E+02	6.66E+02	6.58E+02
	SD	8.22E+00	7.50E+00	9.15E+00	8.50E+00	9.29E+00	1.28E+01	1.30E+01	9.60E+00	7.81E+00
$f_7$	Best	8.02E+02	1.16E+03	1.17E+03	1.10E+03	1.10E+03	8.78E+02	7.71E+02	1.18E+03	1.13E+03
	Average	<b>9.36E+02</b>	1.26E+03	1.26E+03	1.25E+03	1.30E+03	1.01E+03	4.29E+03	1.33E+03	1.23E+03
	SD	5.73E+01	4.96E+01	5.32E+01	8.54E+01	7.04E+01	6.22E+01	1.19E+03	8.26E+01	4.37E+01
$f_8$	Best	8.75E+02	1.07E+03	1.06E+03	9.74E+02	9.59E+02	8.99E+02	8.59E+02	1.07E+03	1.04E+03
	Average	<b>9.21E+02</b>	1.11E+03	1.12E+03	1.03E+03	1.02E+03	9.61E+02	1.37E+03	1.12E+03	1.10E+03
	SD	2.62E+01	1.99E+01	2.12E+01	2.46E+01	2.51E+01	4.16E+01	1.68E+02	2.65E+01	2.67E+01
$f_9$	Best	2.09E+03	5.18E+03	7.68E+03	5.69E+03	6.20E+03	1.34E+03	1.00E+04	5.04E+03	5.13E+03
	Average	<b>3.52E+03</b>	8.85E+03	1.06E+04	8.59E+03	7.51E+03	4.46E+03	1.50E+04	9.25E+03	1.14E+04
	SD	8.96E+02	1.65E+03	1.05E+03	1.27E+03	1.11E+03	2.28E+03	2.48E+03	2.41E+03	2.35E+03
$f_{10}$	Best	3.85E+03	7.34E+03	7.69E+03	6.28E+03	5.96E+03	4.44E+03	4.93E+03	8.60E+03	7.27E+03
	Average	<b>5.14E+03</b>	8.92E+03	8.70E+03	7.80E+03	7.37E+03	6.96E+03	6.21E+03	9.51E+03	8.12E+03
	SD	7.73E+02	3.96E+02	3.50E+02	5.80E+02	8.46E+02	1.44E+03	6.32E+02	5.11E+02	3.77E+02
$f_{11}$	Best	1.19E+03	2.57E+03	3.56E+03	1.38E+03	2.27E+03	1.28E+03	1.35E+03	4.48E+03	3.24E+03
	Average	<b>1.26E+03</b>	4.22E+03	5.28E+03	2.19E+03	3.82E+03	1.38E+03	1.91E+03	1.18E+04	6.44E+03
	SD	3.23E+01	9.46E+02	1.11E+03	5.28E+02	9.31E+02	5.50E+01	9.17E+02	3.70E+03	2.16E+03
$f_{12}$	Best	2.65E+06	8.42E+08	1.26E+09	2.31E+07	5.69E+07	5.42E+06	3.41E+05	3.42E+08	8.45E+08
	Average	1.38E+07	2.67E+09	3.88E+09	1.44E+08	4.57E+08	4.21E+07	<b>4.20E+06</b>	1.16E+09	1.47E+09
	SD	9.36E+06	1.02E+09	1.14E+09	7.19E+07	2.57E+08	3.56E+07	6.29E+06	6.36E+08	5.55E+08
$f_{13}$	Best	1.23E+04	5.76E+08	5.87E+08	2.37E+05	1.68E+06	2.06E+05	1.98E+04	1.07E+07	1.64E+08
	Average	<b>2.63E+04</b>	1.37E+09	1.51E+09	2.17E+06	1.30E+07	2.08E+06	1.63E+07	3.46E+08	9.58E+08
	SD	1.45E+04	5.20E+08	6.76E+08	2.97E+06	1.02E+07	3.41E+06	3.52E+07	3.12E+08	4.91E+08
$f_{14}$	Best	1.22E+04	1.44E+05	4.44E+05	6.09E+04	1.55E+05	7.65E+03	1.16E+04	9.30E+04	9.18E+03
	Average	2.27E+05	1.04E+06	1.25E+06	1.13E+06	2.21E+06	2.57E+05	<b>2.11E+05</b>	2.31E+06	7.30E+05
	SD	1.87E+05	7.10E+05	7.27E+05	9.47E+05	2.27E+06	2.61E+05	1.74E+05	1.78E+06	6.25E+05
$f_{15}$	Best	7.28E+03	5.83E+06	6.36E+06	3.22E+04	3.87E+04	3.59E+04	2.71E+04	1.71E+05	2.51E+05
	Average	<b>1.42E+04</b>	4.39E+07	2.91E+07	2.87E+05	6.37E+06	2.18E+05	2.52E+05	1.12E+07	7.93E+07
	SD	3.59E+03	4.01E+07	2.41E+07	2.64E+05	7.07E+06	2.22E+05	3.27E+05	1.91E+07	5.37E+07
$f_{16}$	Best	2.04E+03	3.93E+03	3.74E+03	2.70E+03	3.19E+03	2.14E+03	2.03E+03	3.95E+03	3.41E+03
	Average	<b>2.84E+03</b>	4.44E+03	4.23E+03	3.59E+03	4.33E+03	2.97E+03	3.25E+03	4.49E+03	4.03E+03
	SD	3.28E+02	2.10E+02	2.45E+02	4.91E+02	5.50E+02	3.48E+02	6.90E+02	2.90E+02	3.11E+02
$f_{17}$	Best	1.83E+03	2.37E+03	2.29E+03	1.99E+03	2.24E+03	1.89E+03	1.79E+03	2.65E+03	2.40E+03
	Average	<b>2.24E+03</b>	2.91E+03	2.84E+03	2.47E+03	2.71E+03	2.33E+03	2.35E+03	3.00E+03	2.80E+03
	SD	2.22E+02	1.92E+02	1.61E+02	2.68E+02	3.23E+02	2.04E+02	3.89E+02	2.23E+02	2.05E+02
$f_{18}$	Best	5.21E+04	3.98E+06	1.40E+06	4.82E+05	2.07E+05	1.49E+05	2.03E+05	5.71E+05	9.28E+05
	Average	<b>6.11E+05</b>	1.53E+07	1.25E+07	5.32E+06	1.03E+07	3.17E+06	2.24E+06	2.52E+07	8.03E+06
	SD	7.60E+05	7.94E+06	8.79E+06	5.31E+06	1.23E+07	2.56E+06	1.87E+06	1.52E+07	4.97E+06
$f_{19}$	Best	1.53E+04	3.44E+07	1.28E+07	2.22E+05	4.04E+05	4.41E+04	2.97E+05	4.11E+05	2.52E+06
	Average	<b>4.43E+05</b>	1.12E+08	7.79E+07	1.64E+06	1.21E+07	1.01E+06	1.24E+06	2.28E+07	9.84E+07
	SD	3.45E+05	6.03E+07	5.14E+07	1.44E+06	1.41E+07	8.83E+05	1.00E+06	4.25E+07	8.57E+07

**Table 10.** Statistical results of the RUN and eight advanced optimizers on CEC-BC-2017 (Continued)

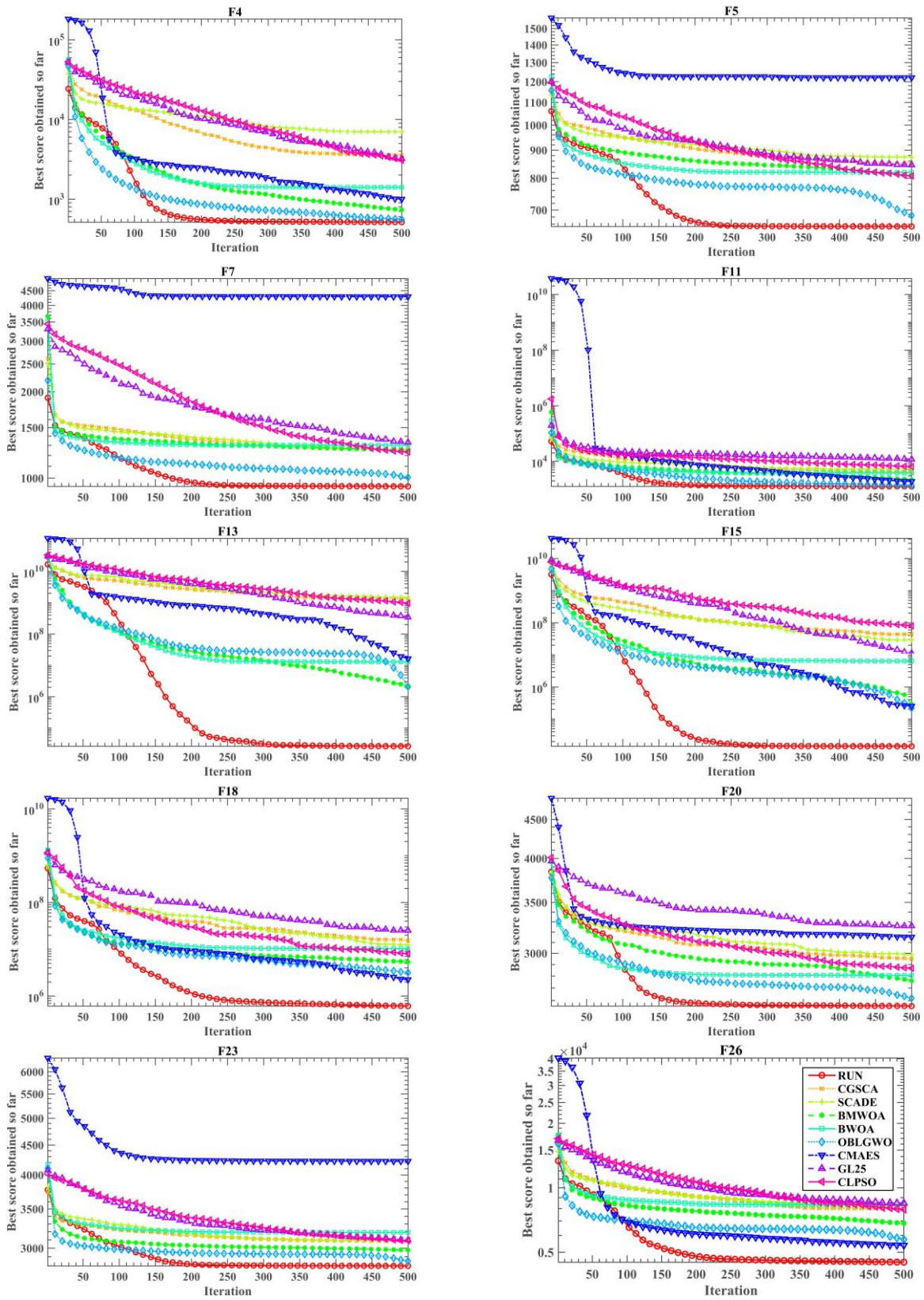
		RUN	CGSCA	SCADE	BMWOA	BWOA	OBLGWO	CMAES	GL25	CLPSO
$f_{20}$	Best	2.27E+03	2.71E+03	2.65E+03	2.40E+03	2.44E+03	2.27E+03	2.53E+03	2.96E+03	2.63E+03
	Average	<b>2.56E+03</b>	2.95E+03	2.99E+03	2.76E+03	2.81E+03	2.62E+03	3.15E+03	3.26E+03	2.87E+03
	SD	1.70E+02	1.36E+02	1.52E+02	1.85E+02	1.94E+02	1.86E+02	3.46E+02	1.64E+02	9.18E+01
$f_{21}$	Best	2.40E+03	2.57E+03	2.57E+03	2.49E+03	2.56E+03	2.42E+03	2.33E+03	2.57E+03	2.53E+03
	Average	<b>2.44E+03</b>	2.62E+03	2.62E+03	2.56E+03	2.64E+03	2.49E+03	2.59E+03	2.62E+03	2.60E+03
	SD	2.52E+01	2.45E+01	2.80E+01	4.40E+01	5.41E+01	5.34E+01	2.67E+02	2.59E+01	2.39E+01
$f_{22}$	Best	2.30E+03	4.08E+03	4.96E+03	2.55E+03	3.49E+03	2.33E+03	6.23E+03	3.31E+03	4.30E+03
	Average	<b>3.31E+03</b>	5.39E+03	6.48E+03	5.68E+03	7.74E+03	3.33E+03	8.15E+03	5.31E+03	7.40E+03
	SD	1.86E+03	1.23E+03	1.08E+03	3.15E+03	1.86E+03	1.97E+03	1.32E+03	2.11E+03	1.83E+03
$f_{23}$	Best	2.74E+03	3.02E+03	3.01E+03	2.87E+03	2.95E+03	2.76E+03	2.94E+03	2.99E+03	2.96E+03
	Average	<b>2.80E+03</b>	3.09E+03	3.09E+03	2.98E+03	3.19E+03	2.85E+03	4.22E+03	3.10E+03	3.09E+03
	SD	2.95E+01	3.74E+01	4.62E+01	7.05E+01	1.16E+02	5.76E+01	5.82E+02	6.85E+01	4.95E+01
$f_{24}$	Best	2.90E+03	3.19E+03	3.18E+03	3.04E+03	3.07E+03	2.94E+03	3.07E+03	3.12E+03	3.09E+03
	Average	<b>2.98E+03</b>	3.25E+03	3.25E+03	3.13E+03	3.28E+03	2.99E+03	3.12E+03	3.24E+03	3.25E+03
	SD	4.61E+01	4.25E+01	3.36E+01	6.49E+01	9.54E+01	3.23E+01	2.04E+01	6.14E+01	4.78E+01
$f_{25}$	Best	2.89E+03	3.30E+03	3.35E+03	2.99E+03	3.10E+03	2.90E+03	2.88E+03	3.34E+03	3.44E+03
	Average	2.93E+03	3.70E+03	3.81E+03	3.08E+03	3.20E+03	2.95E+03	<b>2.89E+03</b>	3.72E+03	3.77E+03
	SD	2.67E+01	2.36E+02	2.47E+02	5.70E+01	7.47E+01	2.82E+01	6.37E+00	2.51E+02	2.22E+02
$f_{26}$	Best	2.80E+03	6.36E+03	7.36E+03	3.74E+03	4.71E+03	3.56E+03	2.80E+03	7.35E+03	6.52E+03
	Average	<b>4.50E+03</b>	8.02E+03	8.21E+03	6.82E+03	8.33E+03	5.73E+03	5.39E+03	8.47E+03	7.92E+03
	SD	1.27E+03	5.81E+02	3.96E+02	1.22E+03	1.12E+03	7.41E+02	1.84E+03	5.37E+02	5.68E+02
$f_{27}$	Best	3.25E+03	3.41E+03	3.39E+03	3.25E+03	3.33E+03	3.22E+03	3.35E+03	3.51E+03	3.43E+03
	Average	3.31E+03	3.52E+03	3.57E+03	3.33E+03	3.47E+03	<b>3.25E+03</b>	3.51E+03	3.66E+03	3.58E+03
	SD	3.57E+01	6.53E+01	8.54E+01	6.37E+01	1.52E+02	1.57E+01	3.47E+02	1.01E+02	7.67E+01
$f_{28}$	Best	3.23E+03	4.08E+03	4.48E+03	3.39E+03	3.50E+03	3.27E+03	3.19E+03	3.95E+03	4.25E+03
	Average	3.28E+03	4.76E+03	5.03E+03	3.50E+03	3.82E+03	3.35E+03	<b>3.23E+03</b>	4.88E+03	4.95E+03
	SD	2.06E+01	4.47E+02	3.53E+02	7.26E+01	2.00E+02	3.69E+01	3.00E+01	4.09E+02	4.03E+02
$f_{29}$	Best	3.69E+03	4.67E+03	5.18E+03	4.25E+03	4.31E+03	3.84E+03	3.42E+03	4.91E+03	4.54E+03
	Average	4.24E+03	5.29E+03	5.67E+03	5.00E+03	5.45E+03	4.28E+03	<b>3.76E+03</b>	5.56E+03	5.13E+03
	SD	2.74E+02	3.17E+02	3.15E+02	5.16E+02	6.21E+02	3.41E+02	2.50E+02	3.28E+02	3.12E+02
$f_{30}$	Best	3.55E+05	6.81E+07	6.71E+07	1.00E+06	6.87E+06	7.09E+05	7.94E+05	1.76E+07	1.74E+07
	Average	3.99E+06	2.19E+08	2.01E+08	8.83E+06	5.03E+07	6.50E+06	<b>3.18E+06</b>	5.03E+07	7.24E+07
	SD	2.71E+06	8.81E+07	8.13E+07	4.83E+06	4.07E+07	4.35E+06	2.42E+06	3.73E+07	4.27E+07

**Table 11** Average ranks of RUN and eight advanced optimizers based on the Friedman test

Algorithm	Friedman ranking	Rank
<b>RUN</b>	<b>1.33</b>	<b>1</b>
CGSCA	6.53	7
SCADE	7.40	9
BMWOA	4.00	3
BWOA	5.70	5
OBLGWO	2.23	2
CMAES	4.43	4
GL25	7.17	8
CLPSO	6.20	6

### 5.9. Sensitivity analysis of RUN





**Fig. 8.** Convergence graphs of RUN and eight other algorithms for the selected CEC 2017 benchmark functions

The sensitivity analysis of the control parameters of RUN (i.e.,  $a$  and  $b$ ) was performed, which demonstrated that RUN had a very low sensitivity to the parameter changes. This research evaluated different combinations of the control parameters on 34 mathematical test functions for designing RUN, including two groups, 14 unimodal and multimodal test functions (group 1) and 20 test functions of CEC-BC-2017 (group 2). In this regard, the values of each parameter were defined as  $a = [5, 10, 20, 30, 40]$  and  $b = [4, 8, 12, 16, 20]$ . Since each parameter had 5 values, there were 25 combinations of the design parameters. Each combination was evaluated by the average fitness values obtained from 30 different runs. Fig. 9(a) illustrates the mean rank values of the two groups, and Fig. 9(b) presents the average rank values of the two groups. Accordingly, the best rank belongs to C13 ( $a = 20$  and  $b = 12$ ), and the rank of C19 is very close to C13. Also, the ranks for most combinations are very close, indicating that the proposed algorithm is not very sensitive to the parameter changes.

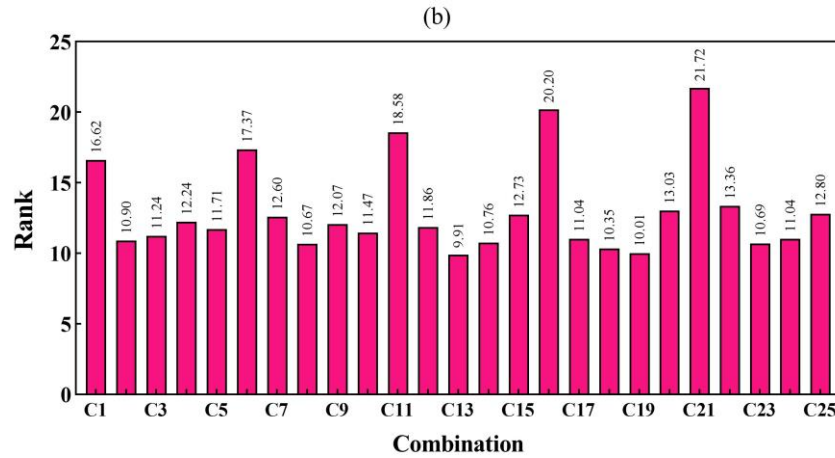
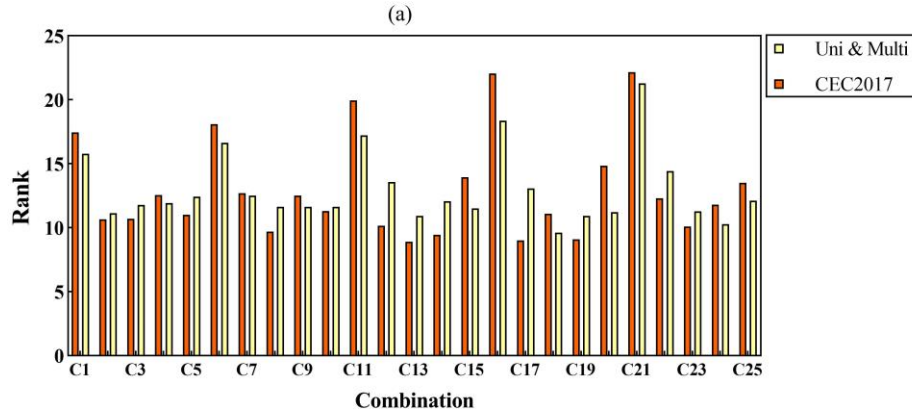


Fig. 9. Sensitivity analysis of RUN, (a) ranks of uni- and multi-modal test functions and CEC-2017 (b) average ranks of all combinations

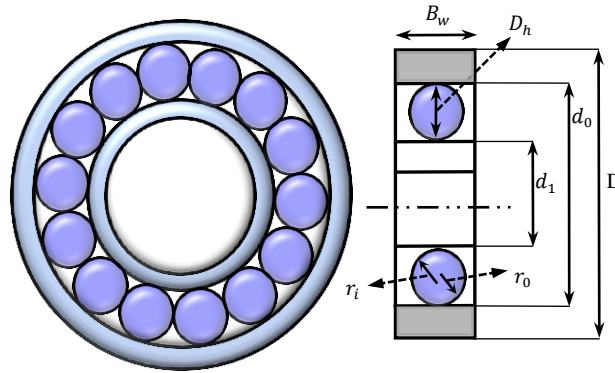
## 6. Engineering benchmark problems

Four engineering benchmark problems were selected in this study to evaluate the performance of the proposed RUN algorithm. Solving such engineering design problems by utilizing specific optimization algorithms is a suitable way to test their capabilities (Heidari, Mirjalili, et al., 2019). The results obtained by RUN were compared with those of different well-known optimizers suggested in previous studies. It is worth noting that the population size and the maximum number of iterations were 30 and 500, respectively, for all problems.

### 6.1. Rolling element bearing design problem

The primary goal of this problem is to maximize the fatigue life, which is a function of the dynamic load-carrying capacity. It has ten variables and nine constraints for modeling and geometric-based limitations. The problem is described in detail by Gupta et al. (2007). The problem is described in detail in (Gupta, et al., 2007). The related mathematical formulation is detailed in Appendix A.

Fig. 10 displays the schematic view of the rolling element bearing design problem.



**Fig. 10.** Rolling element bearing design problem

The results of RUN were compared with those of the GA (Gupta, et al., 2007), teaching-learning-based optimization (TLBO) (Rao, et al., 2011), passing vehicle search (PVS) (Savsani & Savsani, 2016), and HHO (Heidari, Mirjalili, et al., 2019) algorithms. Table 12 presents the statistical results from RUN, GA, TLBO, PVS, and HHO optimizers, indicating that RUN achieved the best fitness value with significant progress. The optimal variables of the problem for the five optimizers are shown in Table 13.

**Table 12.** Statistical results from RUN, TLBO, GA, PVS, and HHO for the rolling element bearing design problem

	RUN	GA (Gupta, et al., 2007)	TLBO (Rao, et al., 2011)	PVS (Savsani & Savsani, 2016)	HHO (Heidari, Mirjalili, et al., 2019)
Best	83680.47	81843.30	81859.74	81859.59	83011.88
Mean	82025.24	NA*	81438.99	80803.57	NA
SD	977.95	NA	NA	NA	NA

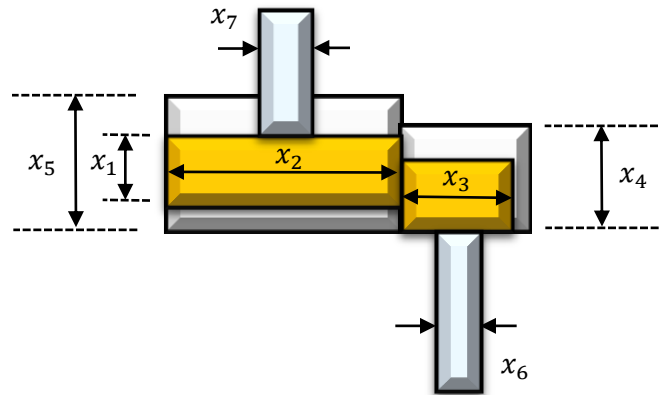
\*NA: Not Available

**Table 13.** Comparison of the results from RUN, TLBO, GA, PVS, and HHO for the rolling element bearing design problem

Variables	RUN	TLBO (Rao, et al., 2011)	GA (Gupta, et al., 2007)	PVS (Savsani & Savsani, 2016)	HHO (Heidari, Mirjalili, et al., 2019)
$D_b$	21.59796	21.42559	21.42300	21.42559	21.0000
$D_m$	125.2142	125.7191	125.71710	125.71906	125.0000
$f_i$	0.51500	0.51500	0.51500	0.51500	0.51500
$f_0$	0.51500	0.51500	0.51500	0.51500	0.51500
$Z$	11.4024	11.0000	11.0000	11.0000	11.0920
$K_{Dmin}$	0.40059	0.42426	0.41590	0.40043	0.4000
$K_{Dmax}$	0.61467	0.63394	0.65100	0.68016	0.6000
$\varepsilon$	0.30530	0.30000	0.30004	0.30000	0.3000
$e$	0.02000	0.06885	0.02230	0.07999	0.0504
$\zeta$	0.63665	0.79994	0.75100	0.70000	0.6000

## 6.2. Speed reducer design problem

In this problem, the weight of speed reducer is maximized (Mezura-Montes & Coello, 2005). The mathematical formulation of this problem is detailed in Appendix A. The numbers of variables and constraints of this problem were 7 and 11, respectively, and the schematic is depicted in Fig. 11.



**Fig. 11.** Speed reducer design problem

RUN's optimal results were compared with the CS results (Gandomi, et al., 2013), HGSO (Hashim, et al., 2019), GWO, and WOA optimizers. Table 14 gives the results of these optimization algorithms for this problem. It can be observed that RUN achieved the best solution and outperformed the compared optimizers. In addition, the optimal variables of the problem are tabulated in Table 15.

**Table 14.** Statistical results from RUN, CS, HGSO, GWO, and WOA for the speed reducer design problem

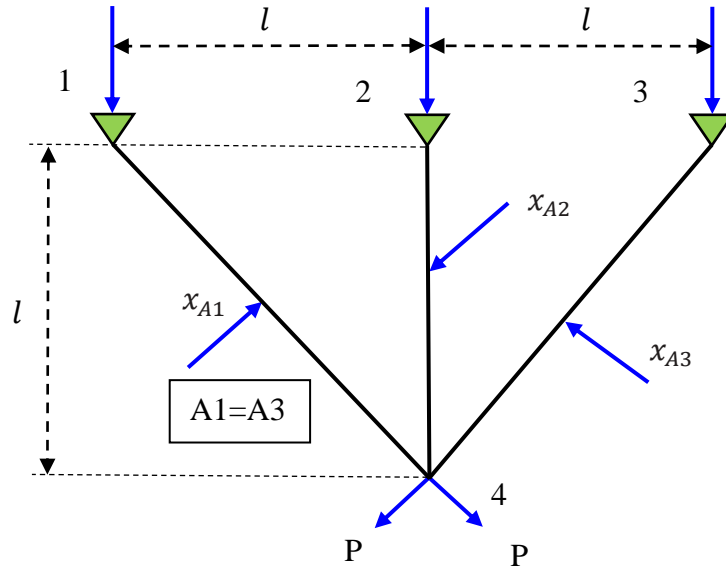
	RKO	CS (Gandomi, et al., 2013)	HGSO (Hashim, et al., 2019)	GWO (Hashim, et al., 2019)	WOA (Hashim, et al., 2019)
Best	2996.348	NA	2996.4	2998.545	2998.134
Mean	2996.348	3007.2	2996.9	2998.832	2998.445
SD	7.63E-09	4.96E+00	4.39E-05	1.86E-06	1.94E-06

**Table 15.** Comparison of the results from RUN, CS, HGSO, GWO, and WOA for the speed reducer design problem

Variables	RKO	CS (Gandomi, et al., 2013)	HGSO (Hashim, et al., 2019)	GWO (Hashim, et al., 2019)	WOA (Hashim, et al., 2019)
$x_1$	3.5001	3.5015	3.4970	3.5000	3.4210
$x_2$	0.7000	0.7000	0.7100	0.7000	0.7000
$x_3$	17.000	17.000	17.020	17.000	17.000
$x_4$	7.0000	7.6050	7.6700	7.3000	7.3000
$x_5$	7.8000	7.8181	7.8100	7.8000	7.8000
$x_6$	3.3500	3.3520	3.3600	2.9000	2.9000
$x_7$	5.2900	5.2875	5.2850	2.9000	5.0000
Fitness	2996.73	3000.98	2997.10	2998.83	2998.40

### 6.3. Three-bar truss problem

The objective of this problem is to minimize the weight of a three-bar truss (Cheng & Prayogo, 2014; Gandomi, et al., 2013), which is one of the widely-used engineering problems in previous studies. Fig. 12 displays this problem's shape, in which the main variables include the areas of bars 1, 2, and 3. The mathematical formulation (i.e., objective function and constraints) of the problem is detailed in Appendix A.



**Fig. 12.** Three-bar truss problem

The results of RUN were compared with those of MVO (Mirjalili, et al., 2016), grasshopper optimization algorithm (GOA) (Mirjalili, et al., 2018), moth-flame optimization (MFO) (Mirjalili, 2015b), mine blast algorithm (MBA) (Sadollah, et al., 2013), CS (Gandomi, et al., 2013), and HHO (Heidari, Mirjalili, et al., 2019). Table 16 displays the results acquired from RUN and the six other optimizers, revealing that the proposed RUN yielded better results than the other optimizers. Furthermore, the optimized variables obtained by the seven optimization algorithms are shown in Table 17.

**Table 16.** Comparison of statistical results of RUN with literature for the three-bar truss problem

	RUN	MVO (S. Mirjalili, et al., 2016)	GOA (S. Z. Mirjalili, et al., 2018)	MFO (S. Mirjalili, 2015b)	MBA (Sadollah, Bahreininejad, Eskandar, & Hamdi, 2013)	CS (Gandomi, et al., 2013)	HHO (Heidari, Mirjalili, et al., 2019)
Best	263.8958	263.8958	263.8958	263.8955	263.8958	263.9715	263.8958
Mean	263.89768	NA	NA	NA	263.897996	264.0669	NA
SD	2.30E-03	NA	NA	NA	3.93E-03	9.00E-05	NA

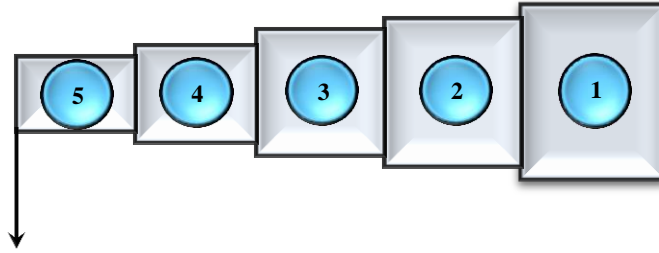
**Table 17.** Best solutions achieved by the seven algorithms for the three-bar truss problem

Algorithm	$x_1$	$x_2$
RKO	0.788679110	0.408237045
MVO (S. Mirjalili, et al., 2016)	0.78860276	0.408453070
GOA (S. Z. Mirjalili, et al., 2018)	0.78889755	0.40761957
MFO (S. Mirjalili, 2015b)	0.78824477	0.40946690
MBA (Sadollah, Bahreininejad, Eskandar, & Hamdi, 2013)	0.7885650	0.4085597
CS (Gandomi, et al., 2013)	0.78867	0.40902
HHO (Heidari, Mirjalili, et al., 2019)	0.7886628	0.4082313



#### 6.4. Cantilever beam problem

Fig. 13 depicts the five-stepped cantilever beam problem, for which the main variables are the height and width of the beam [63]. The main goal of the problem is to minimize the beam weight. The main formulation of the problem is defined in Appendix A.



**Fig. 13.** Cantilever beam problem

**Table 18.** Statistical results of RUN, CS, SOS, MMA, GCA I, and GCA II for the cantilever beam problem

	RUN	CS (Gandomi, et al., 2013)	SOS (Cheng & Prayogo, 2014)	MMA (Chickermane & Gea, 1996)	GCA I (Chickermane & Gea, 1996)	GCA II (Chickermane & Gea, 1996)
Best	1.3399563	1.33999	1.33996	1.34000	1.34000	1.34000
Mean	1.3399604	NA	1.33997	NA	NA	NA
SD	4.68E-06	NA	1.10E-05	NA	NA	NA

The RUN optimized the problem, and its results were compared with those of CS (Gandomi, et al., 2013), method of moving asymptotes (MMA) (Chickermane & Gea, 1996), generalized convex approximation (GCA I) (Chickermane & Gea, 1996), GCA II (Chickermane & Gea, 1996), and SOS (Cheng & Prayogo, 2014). As shown in Table 18, RUN provided more promising results than the five other optimizers, which confirmed the RUN algorithm's high efficiency in approximating the global best solution for this problem. Also, the optimal variables calculated by all the six optimizers are listed in Table 19.

**Table 19.** Optimal variables obtained by the RUN, CS, SOS, MMA, GCA I, and GCA II algorithms for the cantilever beam problem

Algorithm	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$
RKO	6.0049	5.3190	4.4868	3.5033	2.1595
CS (Gandomi, et al., 2013)	6.0089	5.3049	4.5023	3.5077	2.1504
SOS (Cheng & Prayogo, 2014)	6.0187	5.3034	4.4958	3.4989	2.1556
MMA (Chickermane & Gea, 1996)	6.0100	5.3000	4.4900	3.4900	2.1500
GCA I (Chickermane & Gea, 1996)	6.0100	5.3040	4.4900	3.4980	2.1500
GCA II (Chickermane & Gea, 1996)	6.0100	5.3000	4.4900	3.4900	2.1500

## 7. Conclusions and future directions

In this study, a novel metaheuristic optimization algorithm, RUN was developed to solve various optimization problems. The RUN algorithm was inspired by the RK method used as a search engine to explore the best solution in the search space. The RUN algorithm's search mechanism was formulated to effectively implement and balance the exploration and exploitation phases. Also, the enhanced solution quality (ESQ) was proposed and incorporated into RUN to improve the quality of solutions, escape from local optima, and increase the convergence speed. 20 test functions, including unimodal, multimodal, and hybrid, were utilized to assess the efficiency of the RUN algorithm.

The RUN's superior efficiency on the unimodal and multimodal test functions demonstrated its excellent exploitation and exploration abilities, which can be attributed to utilizing the local and global terms in the RUN search mechanism and the ESQ operator. Moreover, the optimal results for the hybrid and composite test functions showed that RUN effectively facilitated the transition from global search (i.e., exploration) to local search (i.e., exploitation) by utilizing the adaptive parameters. The results from this study indicated that RUN was able to explore wondrous solutions compared with other state-of-the-art optimizers.

To evaluate the efficiencies of the RUN algorithm, comparisons were made with five other optimizers (i.e., GWO, WOA, CS, WCA, and IWO) using two well-

known ranking tests (i.e., the Friedman and Quade tests). The findings revealed that RUN provided very competitive results and outperformed the other optimizers for most test functions.

In addition, the efficiency of RUN algorithm was assessed by utilizing the CEC2017 test functions and was compared with eight advanced algorithms. The results demonstrate that RUN can guarantee the efficiency of global search while obtaining superior local search, thus retaining an excellent balance between local and global search capabilities, which indicates the superior efficiency of the proposed optimizer in comparison with the advanced optimizers. Moreover, RUN was compared with other existing optimizers in solving four engineering design problems, showing that RUN presented a better performance in optimizing these complex real-world problems than the other optimization algorithms.

This study was intended to develop a new optimizer (i.e., RUN) to be implemented and formulated with specific exploration and exploitation strategies. Despite the promising findings, it is recommended for future studies to use other well-known operators, such as the crossover operator, mutation operator, opposite-based learning method, and levy walks (LWs). A chaotic map (CMs) should also be considered when the EQS is used in each iteration. In addition, further improvement can be made by developing the multiobjective and binary versions of RUN for solving multiobjective and discrete optimization problems. Finally, other RK methods, such as the fourth-order RK contraharmonic mean method, can be considered in the RUN algorithm to enhance its efficiency.

## Appendix A

I- Rolling element bearing design problem

$$\text{Maximize } Z = \begin{cases} f_c \times Z^{2/3} \times D_b^{1.8} & \text{if } D_b \leq 25.4 \\ 3.647 \times f_c \times Z^{2/3} \times D_b^{1.4} & \text{otherwise} \end{cases}$$

Subject to:

$$g_1(\vec{x}) = \frac{\varphi_0}{2\sin^{-1}\left(\frac{D_b}{D_m}\right)} - Z + 1 \leq 1,$$

$$g_2(\vec{x}) = 2D_b - K_{Dmin}(D - d) \geq 0,$$

$$g_3(\vec{x}) = K_{Dmax}(D - d) - 2D_b \geq 0,$$

$$g_4(\vec{x}) = \zeta B_w - D_b \leq 0,$$

$$g_5(\vec{x}) = D_m - 0.5 \times (D + d) \geq 0,$$

$$g_6(\vec{x}) = (0.5 + e) \times (D + d) - D_m \geq 0,$$

$$g_7(\vec{x}) = 0.5(D - D_m - D_b) - \varepsilon D_b \geq 0,$$

$$g_8(\vec{x}) = f_i \geq 0.515,$$

$$g_9(\vec{x}) = f_0 \geq 0.515,$$

in which

$$f_c = 37.91 \left[ 1 + \left( 1.04 \left( \frac{1+\gamma}{1-\gamma} \right)^{1.72} \left( \frac{f_i(2f_0-1)}{f_0(2f_i-1)} \right)^{0.41} \right)^{\frac{10^7}{3}} \right]^{-0.3} \times \left[ \frac{\gamma^{0.3}(1-\gamma)^{1.39}}{(1+\gamma)^{\frac{1}{3}}} \right]$$

$$\times \left[ \frac{2f_i}{2f_i-1} \right]^{0.41}$$

$$x = \left[ \left\{ \left( \frac{D-d}{2} - 3 \left( \frac{T}{4} \right) \right)^2 + \left\{ \frac{D}{2} - \frac{T}{4} - D_b \right\}^2 - \left\{ \frac{d}{2} + \frac{T}{4} \right\}^2 \right] \right]$$

$$y = 2 \left\{ \frac{(D-d)}{2} - 3 \left( \frac{T}{4} \right) \right\} \times \left\{ \frac{D}{2} - \frac{T}{4} - D_b \right\}$$

$$\varphi_0 = 2\pi - \cos^{-1}\left(\frac{x}{y}\right)$$

$$B_w = 30, D = 160, d = 90, r_i = r_0 = 11.033$$

$$\gamma = \frac{D_b}{D_m}, f_i = \frac{r_i}{D_b}, f_0 = \frac{r_0}{D_b}, T = D - d - 2D_b$$

$$0.15(D - d) \leq D_b \leq 0.45(D - d), 4 \leq Z \leq 50, 0.515 \leq f_i, f_0 \leq 0.60$$

$$0.4 \leq K_{Dmin} \leq 0.5, 0.6 \leq K_{Dmax} \leq 0.7, 0.3 \leq \varepsilon \leq 0.4, 0.02 \leq e \leq 0.1$$

$$0.6 \leq \zeta \leq 0.85$$

II- Speed reducer problem

Minimize

$$Fitness = 0.7854x_1x_2^2 \times (3.3333x_3^2 + 14.9334x_3 - 43.0934) - 1.508x_1 \times (x_6^2 + x_7^2) + 7.4777 \times (x_6^3 + x_7^3) + 0.7854 \times (x_4x_6^2 + x_5x_7^2)$$

Subject to

$$g_1(x) = \frac{27}{(x_1x_2^2 \times x_3)} - 1 \leq 0$$

$$g_2(x) = \frac{397.5}{(x_1x_2^2 \times x_3^2)} - 1 \leq 0$$

$$g_3(x) = \frac{1.93x_4^3}{(x_2x_3 \times x_6^4)} - 1 \leq 0$$

$$g_4(x) = \frac{1.93x_5^3}{(x_2x_3 \times x_7^4)} - 1 \leq 0$$

$$g_5(x) = \frac{1}{(110 \times x_6^3)} \times \sqrt{\left(\frac{745x_4}{x_2x_3}\right)^2 + 16.9 \times 10^6} - 1 \leq 0$$

$$g_6(x) = \frac{1}{(85 \times x_7^3)} \times \sqrt{\left(\frac{745x_5}{x_2x_3}\right)^2 + 157.5 \times 10^6} - 1 \leq 0$$

$$g_7(x) = \frac{x_2x_3}{40} - 1 \leq 0$$

$$g_8(x) = 5 \frac{x_2}{x_1} - 1 \leq 0$$

$$g_9(x) = \frac{x_1}{12x_2} - 1 \leq 0$$

$$g_{10}(x) = \frac{1.5x_6 + 1.9}{x_4} - 1 \leq 0$$

$$g_{11}(x) = \frac{1.1x_7 + 1.9}{x_5} - 1 \leq 0$$

III- Three-bar truss problem

$$\text{Minimize } Fitness(\vec{x}) = (2\sqrt{2}x_1 + x_2) \times l,$$

$$\text{Subject to: } g_1(\vec{x}) = \frac{\sqrt{2}x_1 + x_2}{\sqrt{2x_1^2 + 2x_1x_2}} P - \sigma \leq 0,$$

$$g_2(\vec{x}) = \frac{x_2}{\sqrt{2x_1^2 + 2x_1x_2}} P - \sigma \leq 0,$$

$$g_3(\vec{x}) = \frac{1}{\sqrt{2x_2+x_1}}P - \sigma \leq 0,$$

$$0 \leq x_1, x_2 \leq 1, l = 100 \text{ cm}, P = 2 \frac{kN}{cm^2}, \sigma = 2 \frac{kN}{cm^2}$$

IV- Cantilever beam problem

*Minimize Fitness* =  $0.0624 \times (x_1 + x_2 + x_3 + x_4 + x_5)$

Subject to:

$$g(x) = \frac{61}{x_1^3} + \frac{37}{x_2^3} + \frac{19}{x_3^3} + \frac{7}{x_4^3} + \frac{1}{x_5^3} - 1 \leq 0$$

Variable ranges

$$0.01 \leq x_1, x_2, x_3, x_4, x_5 \leq 100$$

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